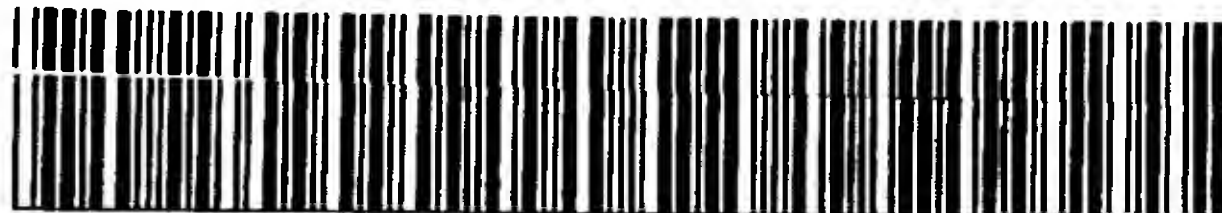


**PCT**WORLD INTELLECT  
Int

INTERNATIONAL APPLICATION PUBLISHED

WO 9603979A1

(51) International Patent Classification<sup>6</sup> :

A61K 9/16, B01J 2/18

A1

(11) International Publication Number:

WO 96/03979

(43) International Publication Date:

15 February 1996 (15.02.96)

(21) International Application Number: PCT/IT95/00048

(22) International Filing Date: 6 April 1995 (06.04.95)

(30) Priority Data:

BO94A000379

3 August 1994 (03.08.94)

IT

(71) Applicant (for all designated States except US): SAITEC S.R.L.  
[IT/IT]; Via Arienti, 33, I-40124 Bologna (IT).

(72) Inventors; and

(75) Inventors/Applicants (for US only): RODRIGUEZ, Lorenzo  
[IT/IT]; Via Machiavelli, 2, I-40069 Zola Predosa (IT).  
CINI, Maurizio [IT/IT]; Via Alamandini, 3, I-40136  
Bologna (IT). CAVALLARI, Cristina [IT/IT]; Via P.  
Palagi, 18, I-40138 Bologna (IT). MOTTA, Giuseppe  
[IT/IT]; Via Alessandrini, 6, I-40126 Bologna (IT).(74) Agent: LANZONI, Luciano; Bugnion S.p.A., Via dei Mille,  
19, I-40121 Bologna (IT).(81) Designated States: AU, BG, BR, CA, CN, CZ, FI, HU, JP,  
KR, MX, NO, NZ, PL, RO, RU, SI, SK, UA, US, European  
patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU,  
MC, NL, PT, SE).

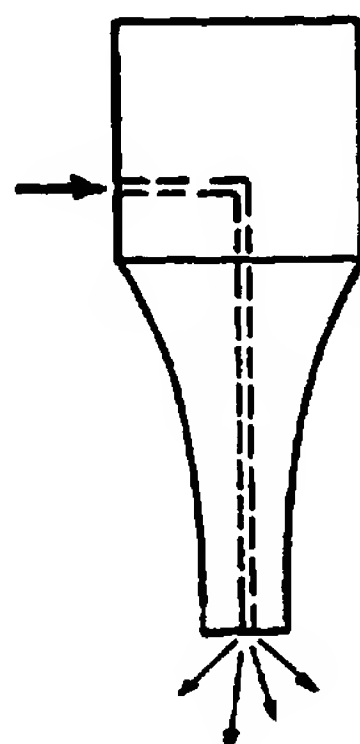
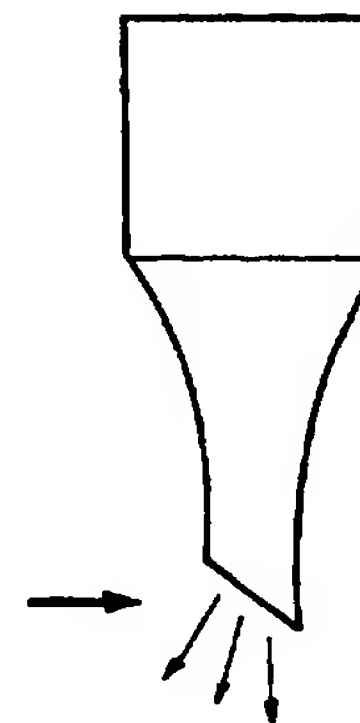
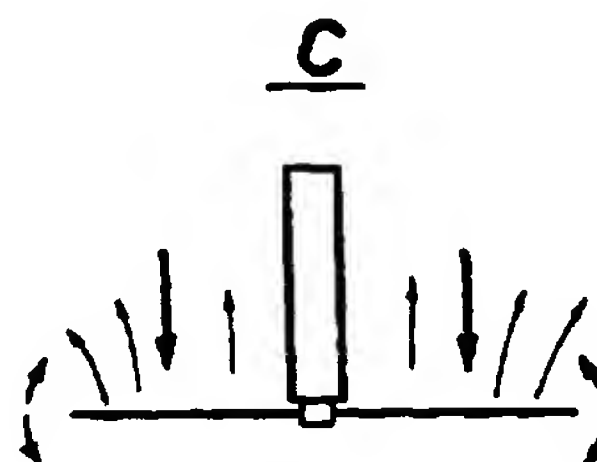
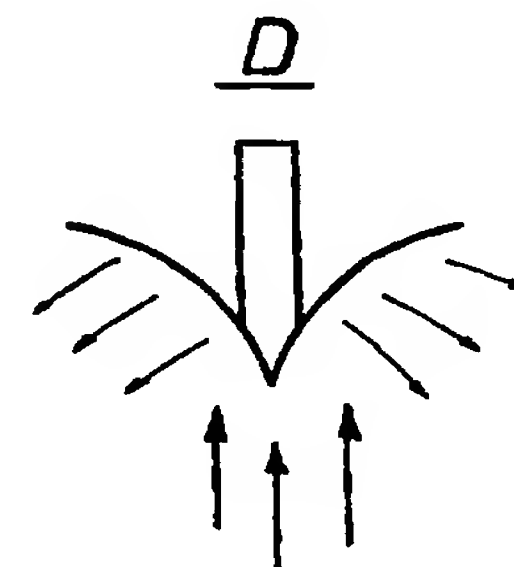
Published

With international search report.

(54) Title: APPARATUS AND METHOD FOR PREPARING SOLID FORMS WITH CONTROLLED RELEASE OF THE ACTIVE INGREDIENT

## (57) Abstract

An improved apparatus and method for preparing solid forms with controlled release of the active ingredient according to the spray drying and spray congealing techniques. The improvement involves the use of an atomizer utilizing the mechanical vibrations of resonant metal elements or nozzles so as to obtain very small droplets with very short spray length (25-30 cm). These droplets fall to give spherical powder particles owing to the evaporation of the contained solvents or of the quenching solidification of the melted waxy components.

ABCD

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

DescriptionAPPARATUS AND METHOD FOR PREPARING SOLID FORMS WITH  
CONTROLLED RELEASE OF THE ACTIVE INGREDIENTTechnical Field

05 The present invention relates to an apparatus  
and a method for preparing solid forms with control-  
led release of the active ingredient. More particu-  
larly, it relates to an apparatus for preparing so-  
lid forms with controlled release of the active in-  
gredient utilizing the well known spray drying and  
spray congealing techniques, and a method for prepa-  
ring said solid forms employing said apparatus. The  
10 solid forms thus obtained can be used in the pharma-  
ceutical field for the oral administration in the  
form of powders with controlled release, or as in-  
termediates for obtaining further forms such as ca-  
psules, tablets, suspensions and the like, or they  
15 can be used in cosmetic, fragrances, preservatives, a-  
limentary as well as in veterinary field or, when  
for releasing vegetal hormones, pesticides, or fer-  
tilizers, also in agroindustrial field.

Background Art

25 The controlled release of an active ingredient  
from a solid form containing it, is well known in  
the art. Generally, said systems contain a) one or  
more excipients which modulate the release acting as  
disgregating agents or as solubilizers, wetting a-  
gents etc., and/or b) one or more polymeric or lipi-  
dic materials acting as barriers limiting the re-  
lease and capable to control the release rate of the  
30 therapeutic agent. Said excipients should be logi-

05 cally compatible with the active ingredients and the  
administration site, stable in the action site, ca-  
pable to interact with the active ingredient and the  
biologic fluids so as to provide the desired release  
control. They should be also easy available and not  
expensive. It is thus evident that the search for  
excipients always more sophisticated and adaptable  
to the different requirements is not presently en-  
ded.

10

Thus in US-A-2,828,206 discrete, free flowing  
particles are described, each comprising at least o-  
ne inner core of fat-soluble vitamin material, said  
core being coated with a shell of a fat-insoluble  
15 substance selected from the group consisting of pro-  
tein, gums, carbohydrates and pectin, which is in  
turn coated with a member of the group consisting of  
fats and waxes having a melting point between 45°  
and 95°C.

20

GB-A-1,044,572 claims a pharmaceutical composi-  
tion providing prolonged release of a drug in the  
gastro- intestinal tract comprising a multitude of  
medicinal pellets randomly coated with a fatty acid  
25 coating comprising a saturated fatty acid or mixture  
of saturated fatty acids having from 12 to 22 carbon  
atoms per molecule, said coating being modified by  
an inert dusting powder which serves to form chan-  
nels or pores through the otherwise continuous coa-  
30 ting.

In US-A-4,341,759 granules containing a pharma-  
ceutically active material and at least one pharma-  
ceutically inactive release controlling component a-  
35 re described, wherein said granules have a core and  
an outer layer comprising at least one active com-  
pound and at least one inactive release controlling

substance over a period of time sufficient to cause said unitary layer to form on each core to give granules of size 0.3-2 mm.

05 US-A-4,572,833 relates to a method for preparing a pharmaceutical oral controlled release composition, in which individual units comprise units of an active substance which is subject to controlled release as a result of coating the units with a substantially water-insoluble but water-diffusible controlled release coating comprising applying, on 10 units comprising the active substance, a film-coating mixture comprising a solvent, a film-forming substance dissolved in the solvent and a hydrophobic substance substantially micro-dispersed in the 15 film-coating mixture in a molten, but undissolved state, the film-coating mixture being applied at a temperature above the melting point of the hydrophobic substance.

20 US-A-3,078,216 describes an oral pharmaceutical preparation having a prolonged release comprising a plurality of medicament granules, substantially all being from 12 mesh to 80 mesh, each coated with 25 a layer of water insoluble, partly digestible hydrophobic material, the thickness of coating varying directly with particle size whereby in oral use the very fine granules rapidly release their medicament and the granules of increasing size release their 30 medicament more and more slowly.

35 In US-A-3,922,339 a process of preparing a sustained release pharmaceutical preparation of a medicament is described, which comprises (1) blending a medicament with desired inert materials, (2) wetting the blend with sufficient liquid material so as to act as a binder on compacting, (3) compacting the

05 wetted blend by extruding to form a spaghetti-like material, (4) drying, breaking and screening the extruded material to the desired particle size, (5) spraying the particles with a solution of a film-forming material, (6) dusting the sprayed particles with a powder and drying to form a seal on the particles, and (7) coating the sealed particles with a solution of an excipient so as to form an enteric-soluble coating on the sealed particle.

10

From US-A-3,432,593 a granule, capsule or tablet is known, having the active medicament adsorbed on a complex colloidal magnesium aluminum silicate. The individual granules may be further provided with one or more suitable retardant coatings, each of which provides a predetermined period of sustainment.

15

From what stated above, it is clear that the controlled release technique has been widely used and studied, but the attempts to effect new improvements thereon go on unceasingly. As a rule, it can be stated that several and different reasons exist for coating or encapsulating an active ingredient with a particular matrix. That is: a) protection from environmental agents, b) conversion from liquid into solid, c) reduction of gastric irritation, d) masking of taste and smell, e) separation of incompatible substances, f) controlled release, g) reduction and removal of dust and electrostatic charges.

20

25

30

At present, the most utilized techniques for obtaining solid forms, in particular powders, are those utilizing the solidification of the matrix, that is the so called spray drying and spray congealing techniques.

35

The spray drying technique comprises essential-

ly the following steps:

- solubilizing the active ingredient or dispersing it as a core in a solution of an encapsulating material at a suitable temperature;
- 05       - spraying the mixture in the form of minute droplets (atomization) by means of a rotating atomizer or a nozzle in a drying chamber;
- introducing at the same time in the drying chamber, in addition to said mixture, a hot air stream that can be introduced in equicurrent or counter-current with the mixture. However, it is necessary a good mixing of the droplets with the air stream.
- 10
- As in the drying chamber the vaporization of the solvent(s) from the droplets is quick because of the great available evaporation surface, said vaporization allows to maintain the temperature of the droplets on a low level and to minimize the heating time;
- 15
- collecting the dried product at the bottom of the drying chamber or forwarding it to a cyclone.
- 20

With this technique, often porous microspheres are obtained, suitable for example to mask the taste but not well suited for preparing controlled release drugs.

25

Spray congealing is very similar to spray drying, but it differs from the former in that the coating material does not need a solvent for its use. Said coating material should be melted at a suitable temperature and, when sprayed in spray-dryer, it requires cold air instead of hot air for having the droplets solidified. In said technique, very important are the additives employed together with the material forming the matrix. They are able to speed up or to slow down the release of a drug or the in-

30

35



testinal adsorption, for example of a vitamin.

05           Either of the two techniques is employed, logi-  
cally the large dimensions of the used equipment  
should be taken into consideration. In a experimen-  
tal work to examine the effects of surface active a-  
gents on formulations of sulfaethylthiadiazole with  
waxes as coating material, a laboratory apparatus  
10           had for example a collection chamber approximately  
274.3 cm (9 ft.) high (Journal of Pharmaceutical  
Sciences, vol. 57, no.4, April 1968, page 584-589).  
The diameter of this chamber must be almost half of  
its height, otherwise the walls are too much soiled  
by the melted material. A reduction of the chamber  
15           size is impossible, in that a considerable atomizing  
jet is always achieved.

          It is thus evident that also said quite advan-  
ced techniques, as well as other ones for having  
20           controlled release compositions, for example compac-  
ting, extrusion, film-forming, etc., are not free  
from problems and disadvantages.

          Attempts have been made to solve at last partly  
25           all these problems employing ultrasonic energy. Thus  
in EP-A-0 467 743 a process for compacting a powder  
mixture is described, in which a non-thermoplastic  
product is blended with a thermoplastic one and the  
mixture thus obtained is submitted to ultrasonic e-  
30           nergy with pressure. An adsorbing tablet is thus  
formed that can be imbued with a perfume and applied  
on the skin, or an adsorbing strip which can be im-  
bued with a drug.

35           In US-A-4,657,543 a process for delivering a  
bio- logically active substance on demand is descri-  
bed, said process comprising the steps of combining



05 a biologically active substance with a biocompatible  
polymeric composition as an admixture, forming said  
admixture into a shaped, solid polymeric matrix, im-  
planting said solid polymeric matrix in vivo at a  
preselected site such that said solid implanted ma-  
trix is in a liquid environment, and exposing said  
implanted solid polymeric matrix to ultrasonic ener-  
gy for a predetermined time to effect cavitation of  
said solid polymeric matrix by rapid compression  
10 with subsequent expansion of liquid or solid sur-  
rounding said solid polymeric matrix thereby to con-  
trol the rate of release of said biologically active  
substance from said matrix over a specific time pe-  
riod wherein the rate of release is changed during  
15 said time period.

From US-A-4,779,806 a process for delivering a  
composition on demand is at last known, which com-  
prises incorporating said composition within a poly-  
meric matrix, surrounding said composition and poly-  
meric matrix with a liquid medium, and exposing said  
polymeric matrix to ultrasonic energy for a prede-  
termined time and at a frequency to effect cavi-  
tation of said polymeric matrix to release said com-  
position from said matrix in a controlled manner o-  
ver a specific time period.

30 In JP-9091084 the preparation of a controlled  
release drug is described, which is obtained by e-  
mulsifying with ultrasounds an aqueous solution of  
the drug in an organic solvent containing the bio-  
compatible polymeric material.

35 In JP-47020327 the welding with ultrasounds of  
a sandwich is described, comprising two equal or  
different polymer sheets surrounding the active in-  
gredient.

In JP-60094403 mixtures of cyclodextrin and alpha-tocopherol are at last described, obtained by stirring intimately the mixture with the aid of ultrasounds.

05

In all the literature mentioned above, with controlled release of a drug almost always a delayed release is meant, that is a release that permits the drug to be released slowly to the body. In both the last mentioned US patents use was then made of ultrasonic energy for having cavitation of a polymeric matrix, but also in this case a delayed release is achieved and it is necessary to implant a matrix in vivo and to degrade the matrix for having the desired release. It is also known that cavitation exhibits a few disadvantages, the main of which is a loss of efficiency and risk for the health.

10

15

#### Disclosure of Invention

20

It was thus object of the present invention to overcome the disadvantages mentioned above and to provide an improved apparatus and method for obtaining solid forms with controlled release of the active ingredient utilizing the spray drying and spray congealing techniques. It was thus object of the present invention to minimize the overall dimensions of the equipment necessary to utilize said techniques, and also avoid the disadvantages associated with the solvent recovery and the danger of explosions. At the same time it was a further object of the present invention to provide solid forms from which the active ingredient could be released in a delayed or rapid but controlled manner based upon the choice of the excipients, and that could be employed with the most active ingredients actually u-

25

30

35

sed in compositions with controlled release in the pharmaceutical, cosmetic, alimentary and agricultural field.

05           This aim could be surprisingly attained by  
means of an apparatus working essentially according  
to the classic scheme of a spray drying or spray  
congealing procedure, but with the use of an ultra-  
sonic atomizer instead of those normally employed,  
10           that is with compressed air, airless or centrifugal.

          The present invention provides accordingly an  
improved apparatus for preparing solid forms with  
controlled release of the active ingredient, compri-  
15           sing:

          a) an atomizer nebulizing the liquid to be  
treated in minute droplets, said liquid being a so-  
lution, suspension or emulsion of one or more active  
ingredients and/or excipients solubilized in one or  
20           more solvents of different polarity in the case of  
spray drying, or solutions or suspensions of one or  
more active ingredients in one or more waxy melted  
excipients in the case of pray congealing;

          b) a cylindrical chamber with vertical axis, in  
25           the inside of which the droplets obtained by means  
of a) fall and are transformed in spherical parti-  
cles of powder owing to the evaporation of the sol-  
vents contained therein or to the quenching solidi-  
fication of the waxy melted components, and

30           c) a device suitable for the quantitative reco-  
very of the volatile, eventually employed solvents.

          The atomizer a) employs the vibration of metal  
resonant elements or of a suitable nozzle to give  
35           droplets having a diameter of from 5 to 500  $\mu\text{m}$  ac-  
cording to the applied intensity and mechanical fre-  
quency, as well to the geometry of the mechanical

device and the chemico-physical characteristics of the treated liquids, such as viscosity, surface tension, weight density, etc. With the term mechanical vibrations as use therein, in the above and following description always ultrasonic, sonic or infrasonic vibrations are meant.

As resonant metal elements, appropriately shaped or with vibrating elements sonotrodes can be used, for example thin plates, or with a nozzle. When a nozzle is employed, its diameter will depend logically on the liquid used to obtain the corresponding powder.

In fig. 1 three types of sonotrodes are illustrated, and with thick line arrow the liquid feeding to be treated, whereas with thin line the small droplets so obtained, and in particular A) with an axially perforated nozzle, B) without nozzle with reflecting angled end, C) with planar vibrating plate. With D) another particular form of a vibrating, cuspidal plate is illustrated having a better reflection of the product to be treated.

The employed thin plate can be made of steel or of another material able to be worked to give resistant, thin plates, not attackable by liquids or melts contacting them. Good results have been obtained with stainless steel plates. As material for the thin plates also titanium and Avional 2024 and 2018 (trade name for a aluminium alloy) can be used.

The chamber as indicated in b) can provide cold or hot air circulation, in equicurrent or counter-current with the powder falling path so as to facilitate the drying or solidification process. Therefore, it can be provided with means able to remove

the powder from air, for example cyclones, filters, etc.

05 As to the apparatus working of the present invention and the advantages attained, it is necessary to point out what follows.

10 The shape of the atomized fluid jet (particularly its length, usually 25-30 cm) allows to hold in a range of 1 meter maximum the diameter of the atomizing chamber without running into risk to stain the walls, and the height of said chamber can be lower than 2 meters because of the great thinness with which the powder can be obtained, that slows down its fall, intensifying the drying rate. These two  
15 characteristics (diameter and height) can not be attained with the known equipments. Should a conventional nozzle be employed, at the same loading jets of a few meters can be observed and not of 25-30 cm as in the present invention.

20 Operating with a frequency of some kHz, the ultrasonic nozzle is able to dissipate relatively high energy and to atomize substantial amounts of liquid (50 l/h), thus obtaining large amounts of powder. Owing to its own working, it is moreover self-cleaning so that no maintenance during the operation is necessary and it is possible to easily atomize  
25 also fluids like waxes, glycerides, melted polyethylene glycols that, because their unfavorable chemico-physical properties (in particular viscosity or surface tension), are difficult to atomize with conventional nozzles. In fact, it is known that surface tension of a drop with flat surface depends on material and temperature, whereas should the drop be  
30 convex, surface tension is in inverse relation to the drop bend radius. Very small droplets have therefore very high drying rates.

As the ultrasonic nozzle works without employing compressed air, it allows to work at reduced pressure, thus limiting or avoiding completely the introduction of air into the apparatus, and permitting a complete recovery of the volatile solvents eventually employed. They can be easily condensed by means of a simple compression at room temperature of their vapour with compressors without lubrication. The whole apparatus can moreover simply operate in absence of oxygen or outside the inflammability and explosiveness range of the employed solvents.

Further object of the present invention is also a method for preparing solid forms with controlled release of the active ingredient, said method being characterized in that a solution, suspension or emulsion of one or more active ingredients and/or excipients in one or more solvents of different polarity or of one or more active ingredients in one or more melted excipients is fed on vibrating metal elements or in a nozzle vibrating at infrasonic, sonic or ultrasonic frequency to give very small droplets of liquid that fall turning into spherical particles of powder owing to the evaporation of solvents or to quenching solidification of the melted waxy components, and the solvents are eventually recovered.

The frequency utilized for carrying out the present invention is of from 20 kHz to 150 kHz.

Illustrative examples of biologically active substances which can be atomized to give powders according to the method of the present invention are: vitamins, enzymes, antibiotics (such as tetracyclines, penicillins, cephalosporins), diuretics, seda-



05 tives, analgesics, bronchodilators, carotenoids, B-blockers, antiinflammatories, antidepressives, antidiabetics, lipids, antihypertensives, vasodilators, vasoconstrictors, hormones, steroids, antihistamines, antitussives, alkaloids, amino acids, antipyretics, antibacterial agents, amphetamins, hypnotics, tranquilizers, symphatomimetics, barbiturics, antiparkinson agents, antimalarials, antispasmodics, several topic ophtalmic drugs and so on. Also interferon, antigens, antibodies, polysaccharides, growth factors, anticancer agents, phytohormones, pesticides, pheromones, fragrances, preservants, etc.

15 Typical examples of suitable drugs include: dexamethasone, prednisolone, isoproterenol, propranolol, codeine, atropine, hyoscyamine, streptomycin, cortisone, isosorbide-5-mononitrate, amobarbital, scopolamine, theophylline, ephedrine, urapidil, ketoprofen, paracetamol, indomethacin, diltiazem, dicerhein, phenylpropanolamine and biliary acids. Also  
20 interferon, antibodies, antigens, polysaccharides, growth factors, anticancer drugs, phytohormones, pesticides, pheromones, fragrances, perfumes, etc.

25 The polymers or copolymers useful for preparing the matrix or for having a coating, which can be utilized alone or in any mixture thereof, comprise all those already employed in the controlled release pharmaceutical, cosmetic or agricultural compositions, for example cellulose and its derivatives, polyamides, acrylic polymers, polyesters, polyvinylpyrrolidone, starch, polyethylene glycols, polystyrene, polyvinylalcohol, myristyl alcohol and stearyl alcohol polymers, polyvinyl acetate, polybutadiene, polyvinyl formal, polyvinylbutyral, vinyl  
30 chloride-vinyl acetate copolymer, ethylene-vinyl acetate copolymer, vinyl chloride-propylene-vinyl a-



- 14 -

cetate copolymer and any mixture thereof. The present invention is not restricted to the employed polymers or active ingredients.

05           Solvents that can be eventually used in the present invention comprise for example acetone, isopropyl alcohol, methylene chloride. Also plastifiers such as dibutyl phtalate and trimethyl citrate can be employed. Also aqueous solutions can be used.

10           The powders thus obtained can be perfectly gastroresistant but quickly soluble at neutral or basic pH in the case of pharmaceutical compositions (for example employing Eudragit S 100), or they are  
15           able to grant the release with kinetics very close to zero order and in a wide range of release constants (for example employing Eudragit RS, RL or mixture thereof or cellulose esters).

20           The powders thus obtained can be employed both directly as oral powders with controlled release, and as intermediates for producing further controlled release forms, such as tablets, capsules, suspensions and the like.

25           In order to evaluate the efficiency of the new apparatus and method object of the present invention, powders have been prepared from some active ingredients and their release as a function of time  
30           has been evaluated. The results are summarized in the enclosed drawings, in which:

          fig. 2 shows ibuprofen release rate first in acid and then in basic medium;

          fig. 3 shows the ketoprofen release at the same  
35           conditions;

          fig. 4 shows ketoprofen release at the same conditions but in the presence of several exci-

pipients;

fig. 5 shows the naproxen release at the same conditions and

05 fig. 6 shows for comparison purpose the release of an active ingredient from a pharmaceutical form obtained according to the co-pending application WO 94/14421, by compacting ketoprofen with talc, Eudragit S 100 and magnesium stearate with the aid of ultrasounds.

10

The explanation of the other figures will be deduced from the following examples. The forms obtained with the apparatus of the present invention are also illustrated in the examples. As the exam-  
15 ples are given for illustrative purpose only, they have not to be considered as limitative of the present invention.

It is also clear that any person skilled in the art could modify the present invention utilizing another drug or different substances for having the  
20 powders. It appears thus to be superfluous to point out as said modifications belong in toto to the invention as described above, and therefore they could not be retained as different from the claims as re-  
25 ported here below.

#### Example 1

30 A solution was prepared comprising 1.5 g ketoprofen, 1.5 g Eudragit S 100 (Trade mark), 0.15 g Eudraflex (Trade mark) and 20 g of a 2:1 mixture of acetone and methylene chloride. This mixture was transferred at the rate of 50 l/h on an atomizer vibrating at 40 kHz. Very little droplets have been  
35 obtained that, owing to the solvents evaporation, falling in the air after a run of 1,5 m were transformed in perfectly spherical and essentially not

porous particles. Evaluation of the active ingredient release by means of simulated gastric and enteric juice give the trend shown in figure 4.

05

## Example 2

10

The procedure described in Example 1 was repeated, with the difference that 1.5 parts of naproxen were used instead of ketoprofen. The results of release tests in gastric and enteric simulated juice gave the curve trend shown in figure 5.

## Example 3

15

Example 1 was repeated, but ibuprofen was used as active ingredient instead of ketoprofen. The results of the release rate are reported in figure 2.

## Example 4

20

25

Following the procedure of Example 1, microspheres were obtained containing hydrogenated castor oil (Cutina HR, Trade mark) 45%, karite butter 30%, ferric oxide pigment 25%. A photograph of said spheres (magnitude 100 X; here and in the following, the magnification of microphotos is intended as referred to the 24x36 mm slide) is given in figures 7 and 8. The product can be used as an ingredient for cosmetic compositions.

30

## Example 5

35

Following the procedure of Example 1, microspheres were obtained containing hydrogenated castor oil (Cutina HR) 45%, karite butter 30%,  $\beta$ -carotene 25%. Photographs (magnitude 100 x) in figures 9 and 10. Use as in Example 4.

- 17 -

## Example 6

Figure 11 is a microphotograph (65 X) of soluble cacao now on the market, whereas a microphotographs (65 X) of soluble cacao powder prepared according to the present invention following spray congealing technique are shown in figures 12 and 13. Said powder comprises: hydrogenated castor oil (Cutina HR) 27.5%, water-disperdible soja lecithin 12.5%, saccharose 5%, lean cacao powder 50%. In figures 14 and 15 a further microphotograph of soluble cacao is shown, comprising: hydrogenated castor oil (Cutina HR) 22.5%, soja lecithin 22.5%, saccharose 5%, lean cacao powder 50%. The technique used was spray congealing: atomization with ultrasounds.

## Example 7

Following the spray congealing procedure and atomization with ultrasounds according to the present invention, microspheres were obtained containing: BRIJ 72 (Trade mark) 24%, hydrogenated castor oil (Cutina HR) 56%, carbaryl malathion 20%. The product is shown in figures 16 and 17. Use as agricultural parasiticide.

## Example 8

In figures 18 and 19 microspheres are shown (65 X) containing BRIJ 72 16%, hydrogenated castor oil (Cutina HR) 64%, carbaryl malathion 29%.

## Example 9

In figure 20 and 21 photographs of whole powder milk are shown (65 X), obtained with spray drying technique and ultrasonic atomization.

## Example 10

05            Photographs of microspheres (65 X) containing hydrogenated castor oil (Cutina HR) 50%, stearin 15%, swine lard 20%, 3-alpha-acetonyl-benzyl-4-hydroxy- coumarine 15%, are shown in figure 22 and 23. Preparation with spray congealing technique, ultrasonic atomization. Use as rat poison.

Claims

- 05 1. Apparatus for preparing solid forms with controlled release of the active ingredient according to the spray drying and spray congealing techniques, characterized in that it comprises:
- 10 a) an atomizing device utilizing mechanical vibrations of resonant metal elements or nozzles, that nebulizes in very little droplets a liquid comprising a solution, suspension or emulsion of one or more active ingredients and/or excipients in one or more solvents of different polarity or of one or more active ingredients in one or more melted waxy excipients;
- 15 b) a cylindrical chamber with vertical axis inside of which the droplets thus obtained in a) fall to give spherical powder particles because of the evaporation of the contained solvents or of the solidification owing to the quenching of the melted waxy components, and
- 20 c) a device for recovering the volatile solvents eventually employed.
- 25 2. Apparatus according to claim 1, characterized in that the resonant metal elements comprise appropriately shaped sonotrodes or with application of vibrating elements, for example thin plates, or provided with a nozzle the diameter of which depends on the liquid to be treated.
- 30 3. Apparatus according to claim 1, characterized in that the diameter of the droplets obtained in a) is of from 5 to 500 microns.
- 35 4. Apparatus according to claim 1, characterized in that the length of the atomized fluid jet is usually of from 25 to 30 cm with a loading of 50 l/h.

5. Apparatus according to claim 1, characterized in that the atomization chamber height b) is usually lower than 2 m and the diameter is of 1 m.

05 6. Apparatus according to claim 1, characterized in that the mechanical frequencies are of from 20 kHz to 150 kHz.

10 7. Method for preparing solid forms with controlled release of the active ingredient, characterized in that a suspension, solution or emulsion of one or more active ingredients and/or excipients in one or more solvents of different polarity or of one or more active ingredients in one or more melted waxy excipients is fed on resonant metal elements or nozzles subjected to infrasonic, sonic or ultrasonic frequencies, the very small droplets thus obtained fall in a cylindrical chamber with vertical axis to give spherical powder particles, and the solvents eventually employed are recovered.

15 20

8. Method according to claim 7, characterized in that the solution, suspension or emulsion fed to the resonant metal elements is an aqueous composition.

25

9. Method according to claim 7, characterized in that the solution, suspension or emulsion is fed to the resonant metal elements or nozzles in an amount of at least 50 l/h.

30

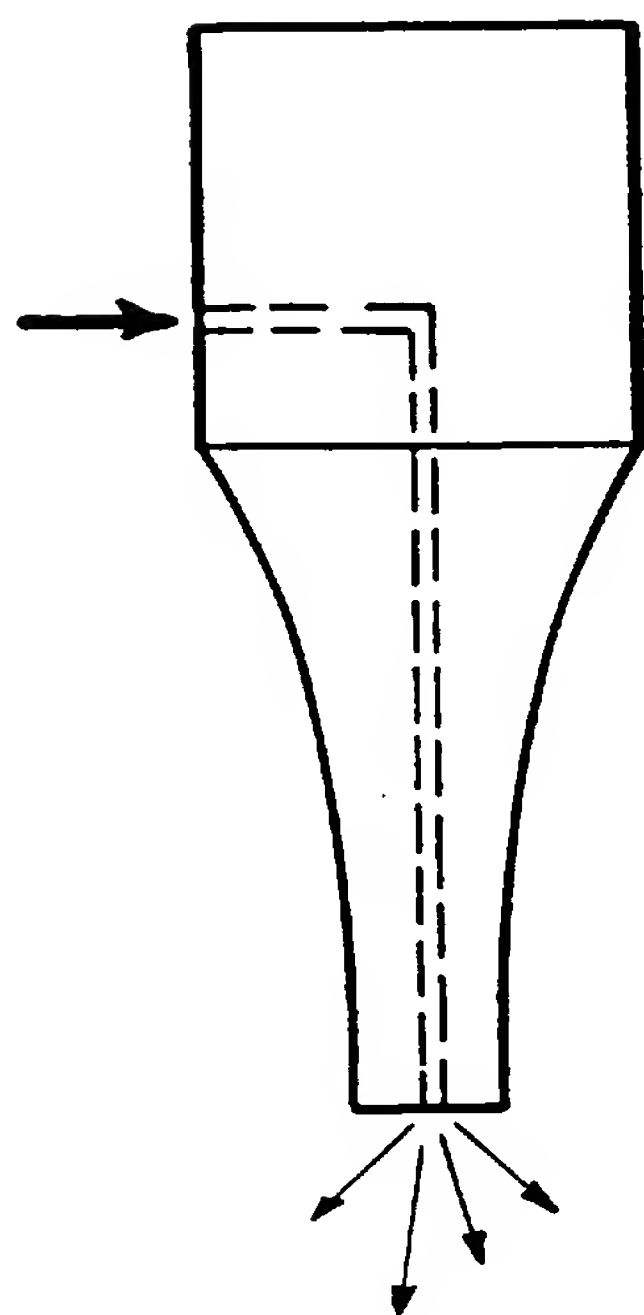
10. Method according to claim 7 and 8, characterized in that as resonant metal elements appropriately shaped sonotrodes or with application of vibrating elements, for example thin plate, or provided with a nozzle are employed.

35

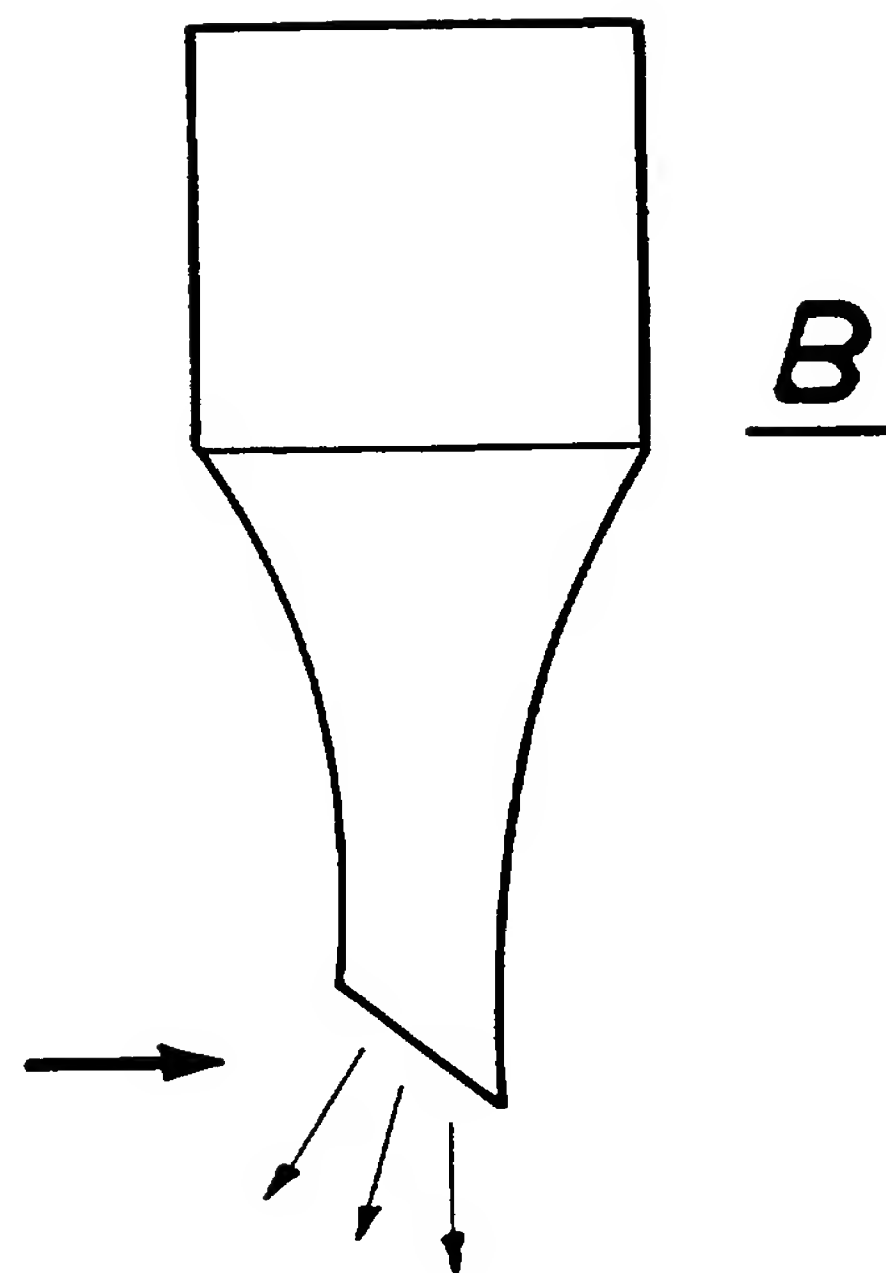


1/15

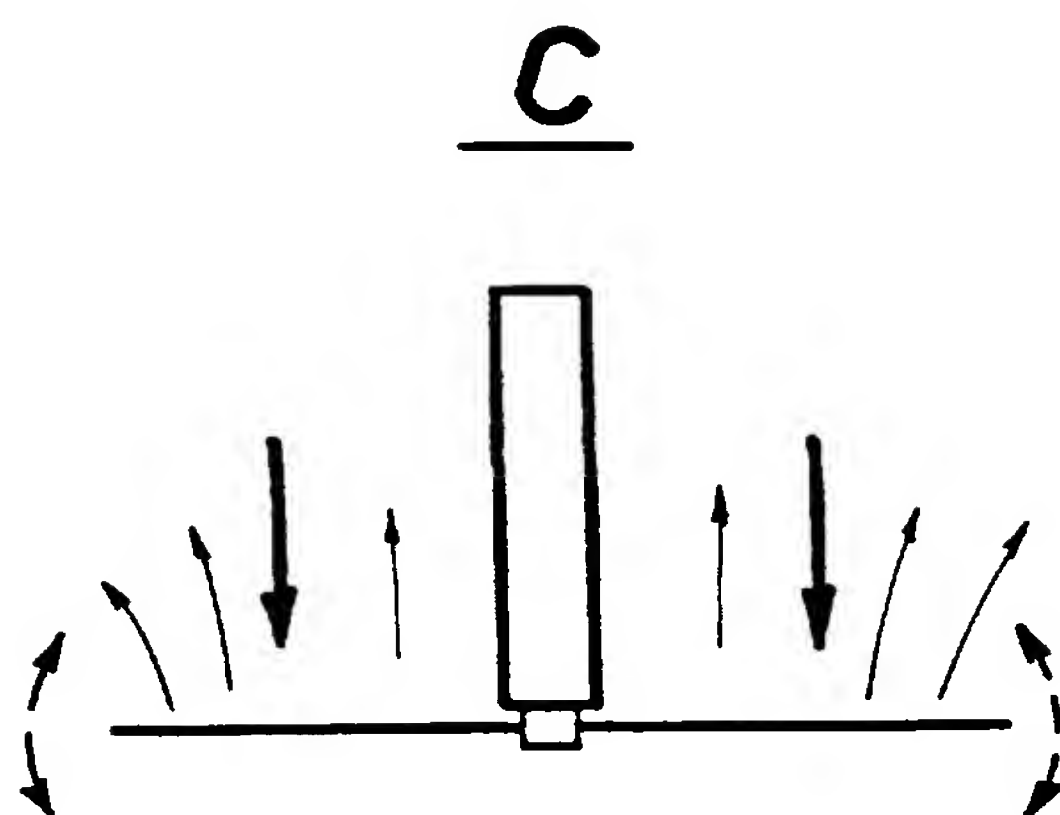
FIG 1



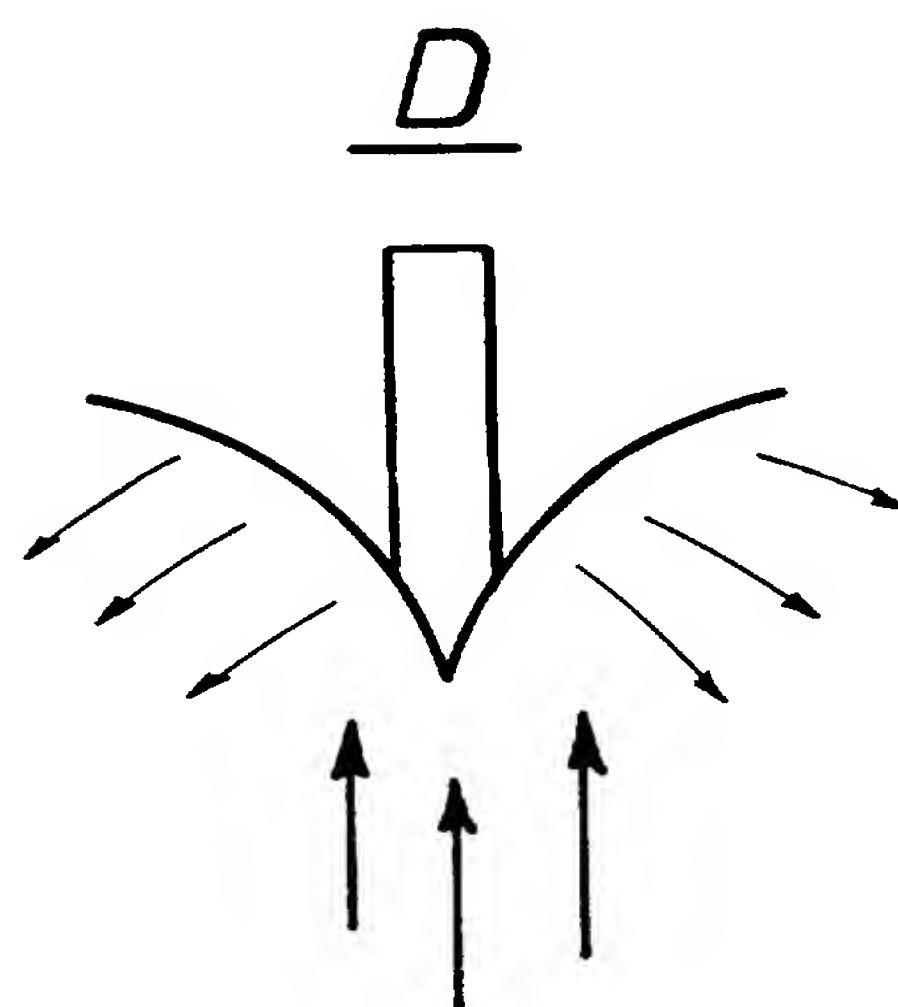
A



B



C



D

2/15

FIG 2

Ibuprofen

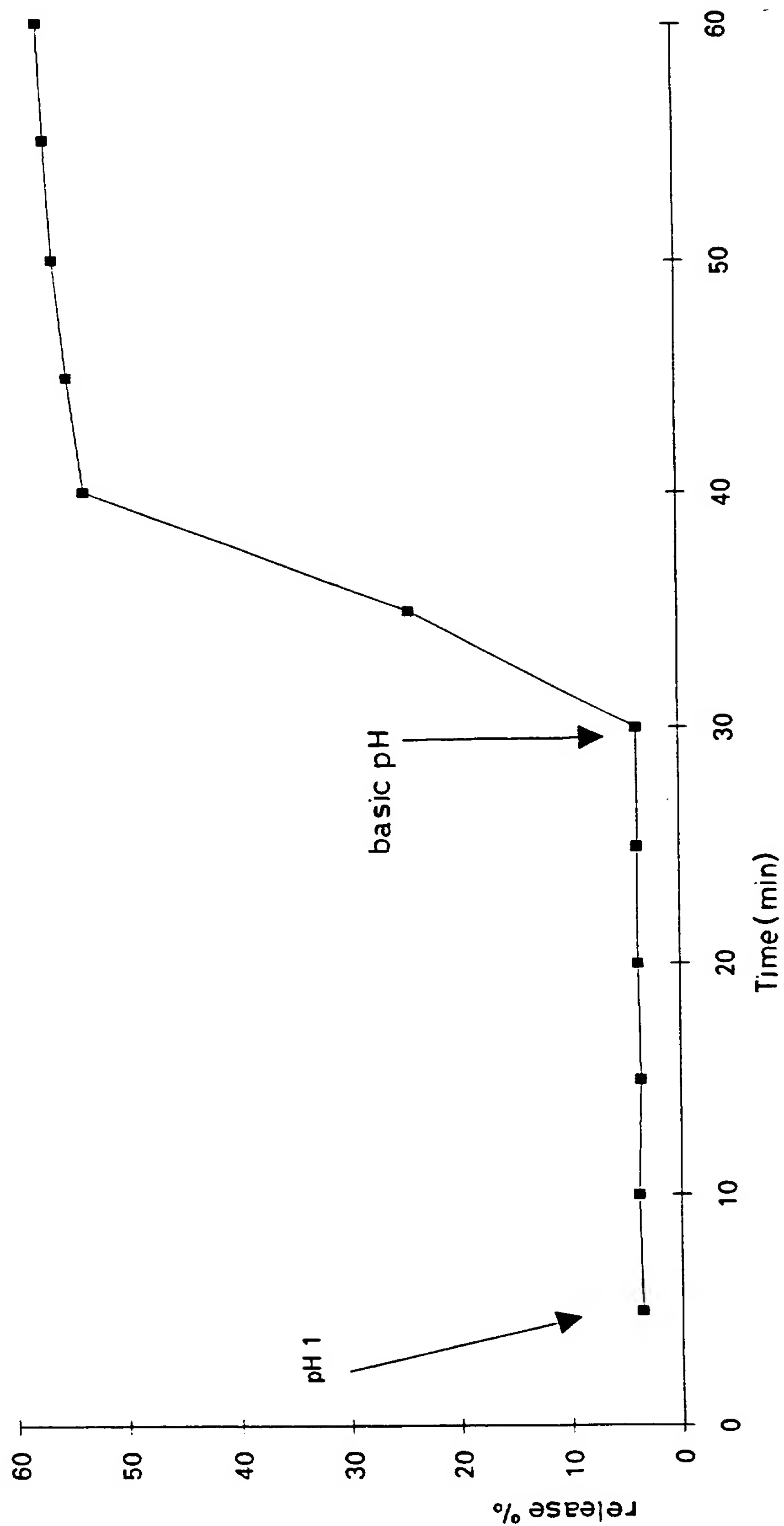


FIG 3

Ketoprofen

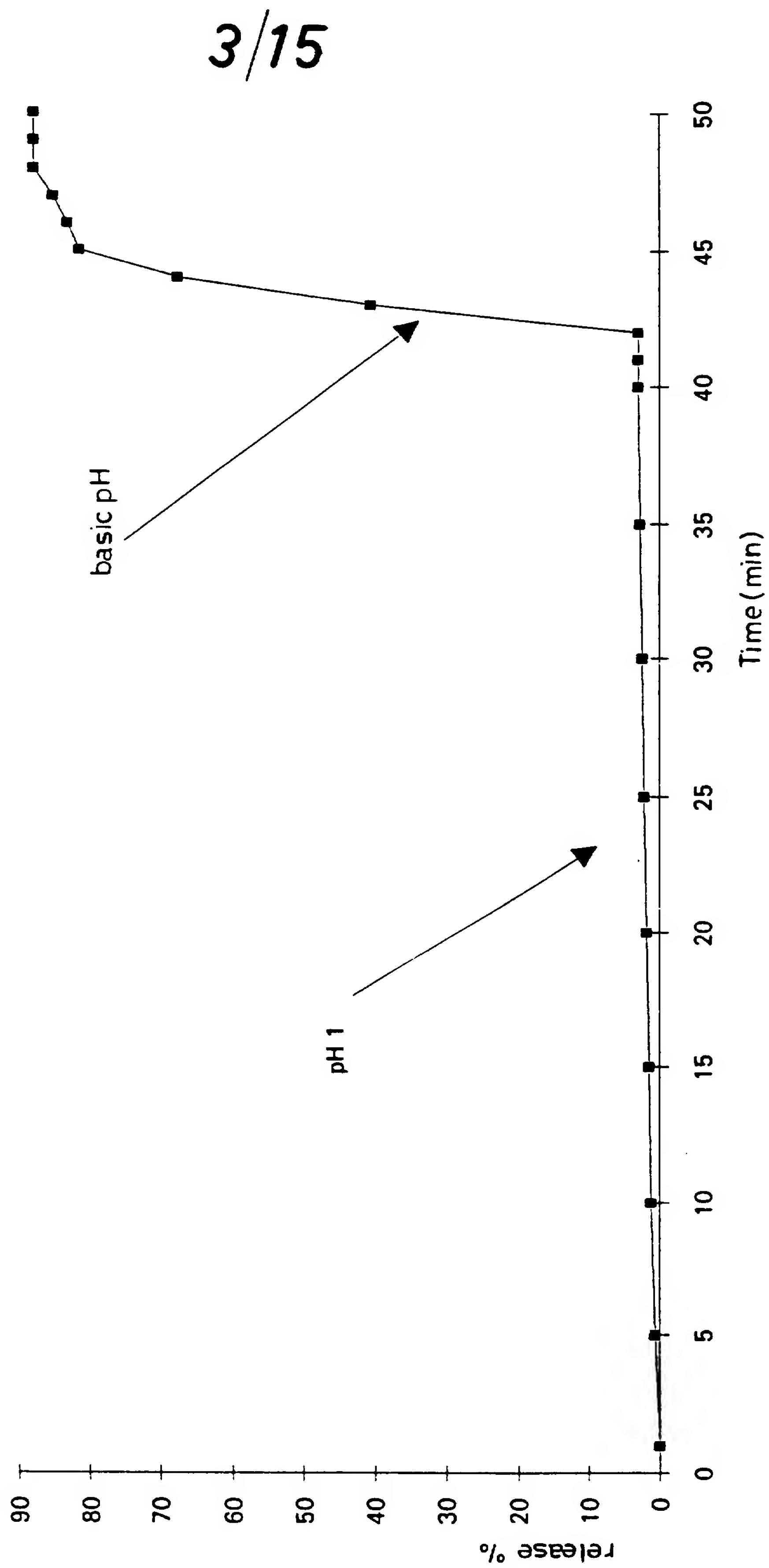


FIG 4

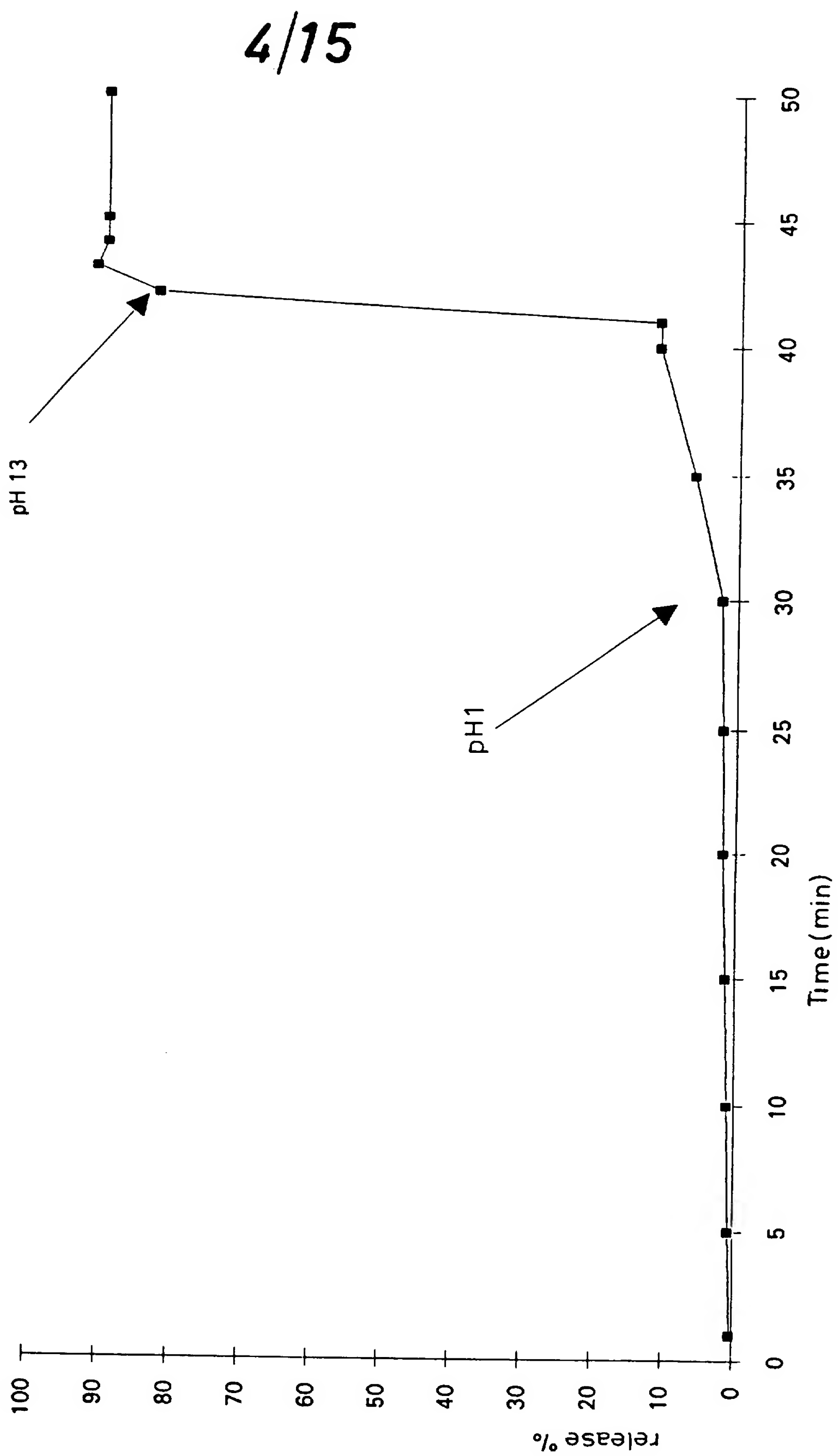
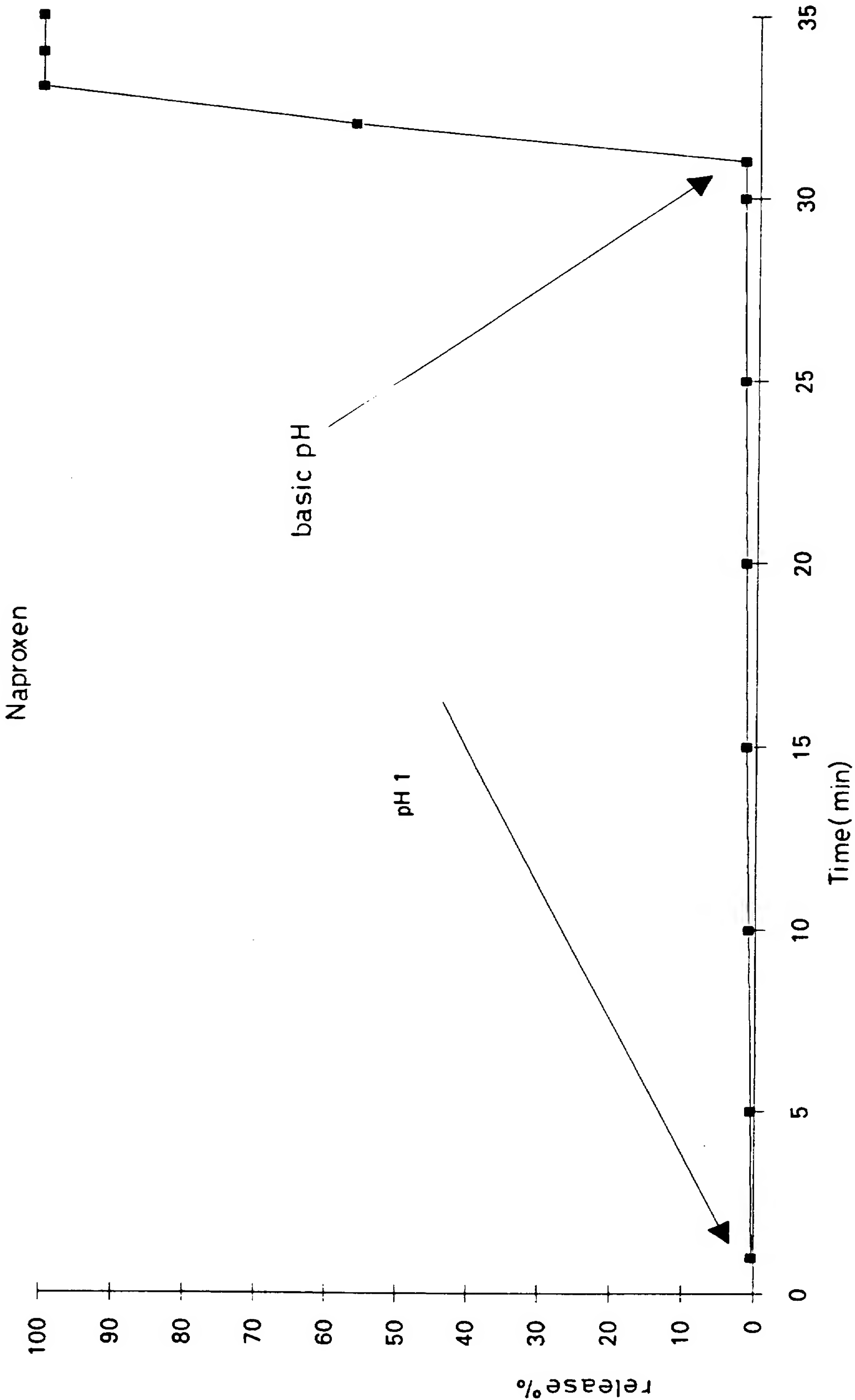
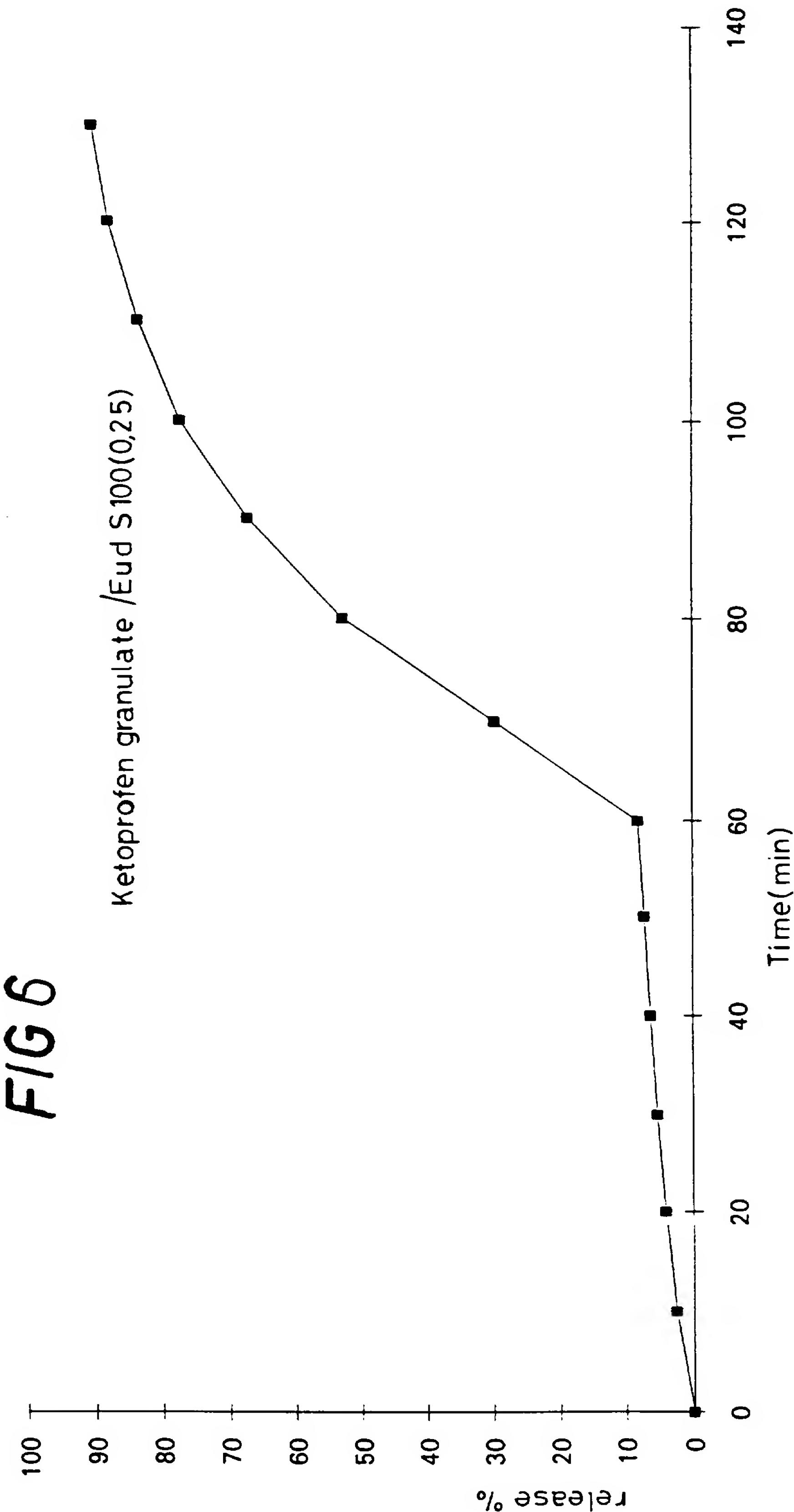


FIG 5



6/15



7/15

FIG 7

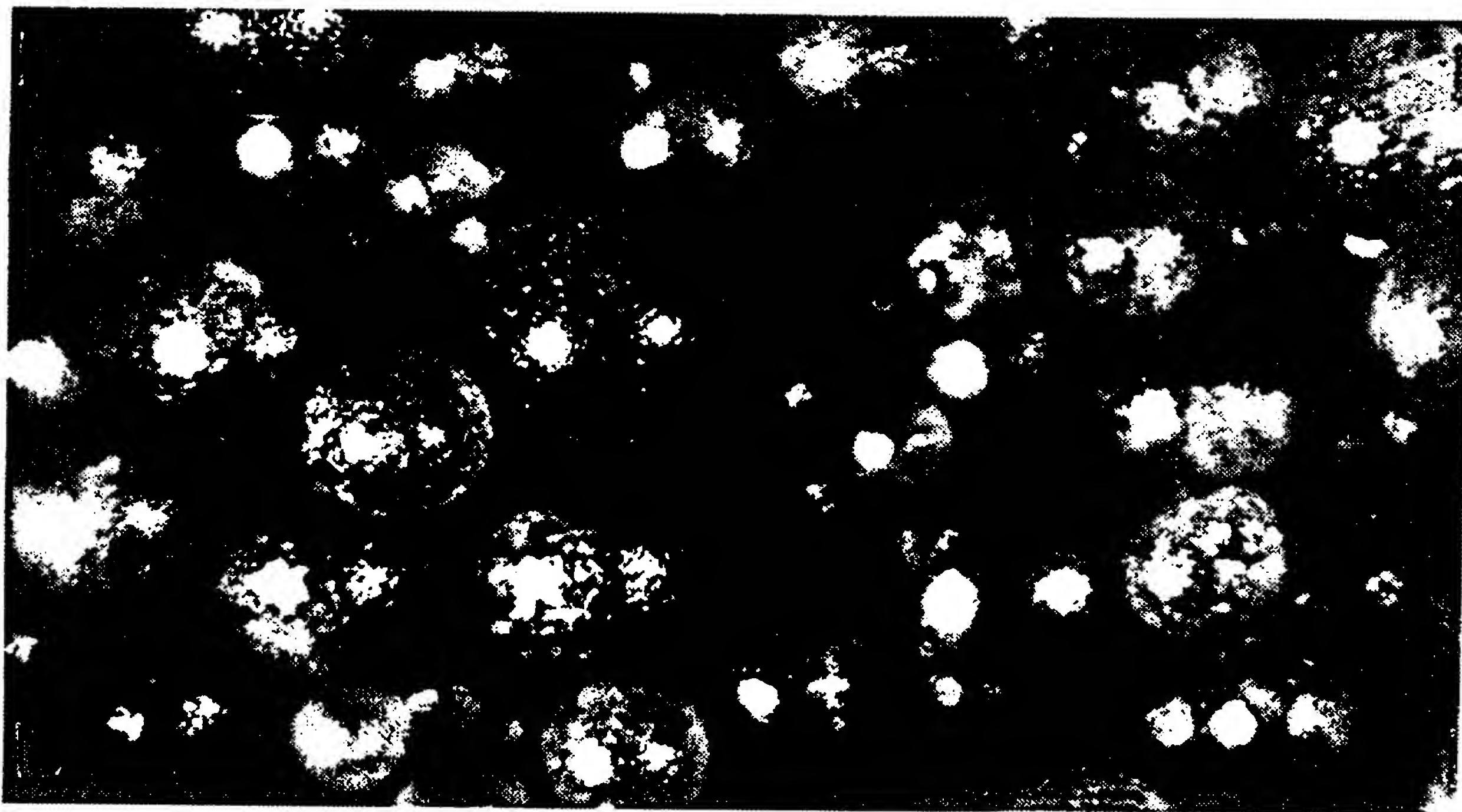
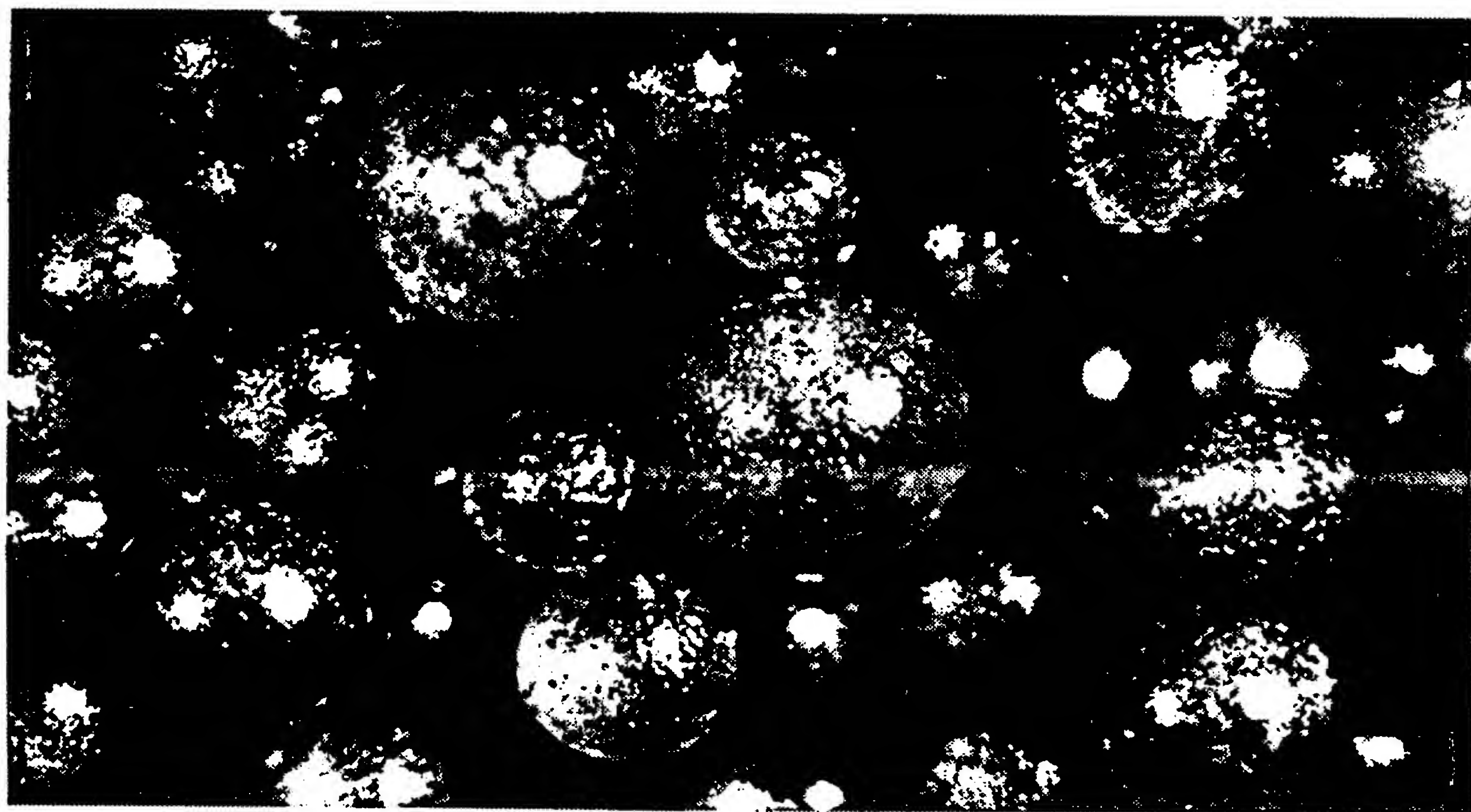


FIG 8





8/15

FIG 9

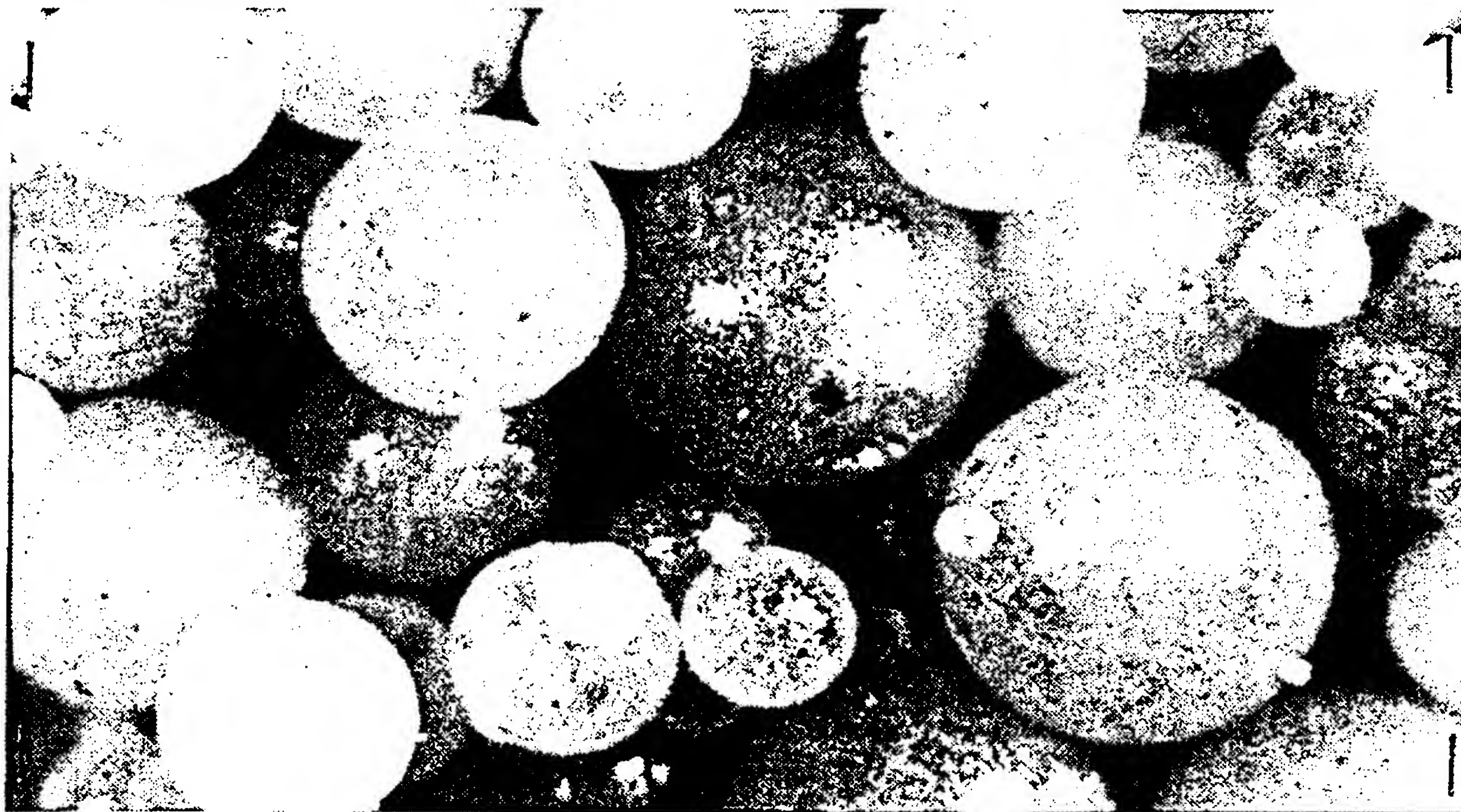
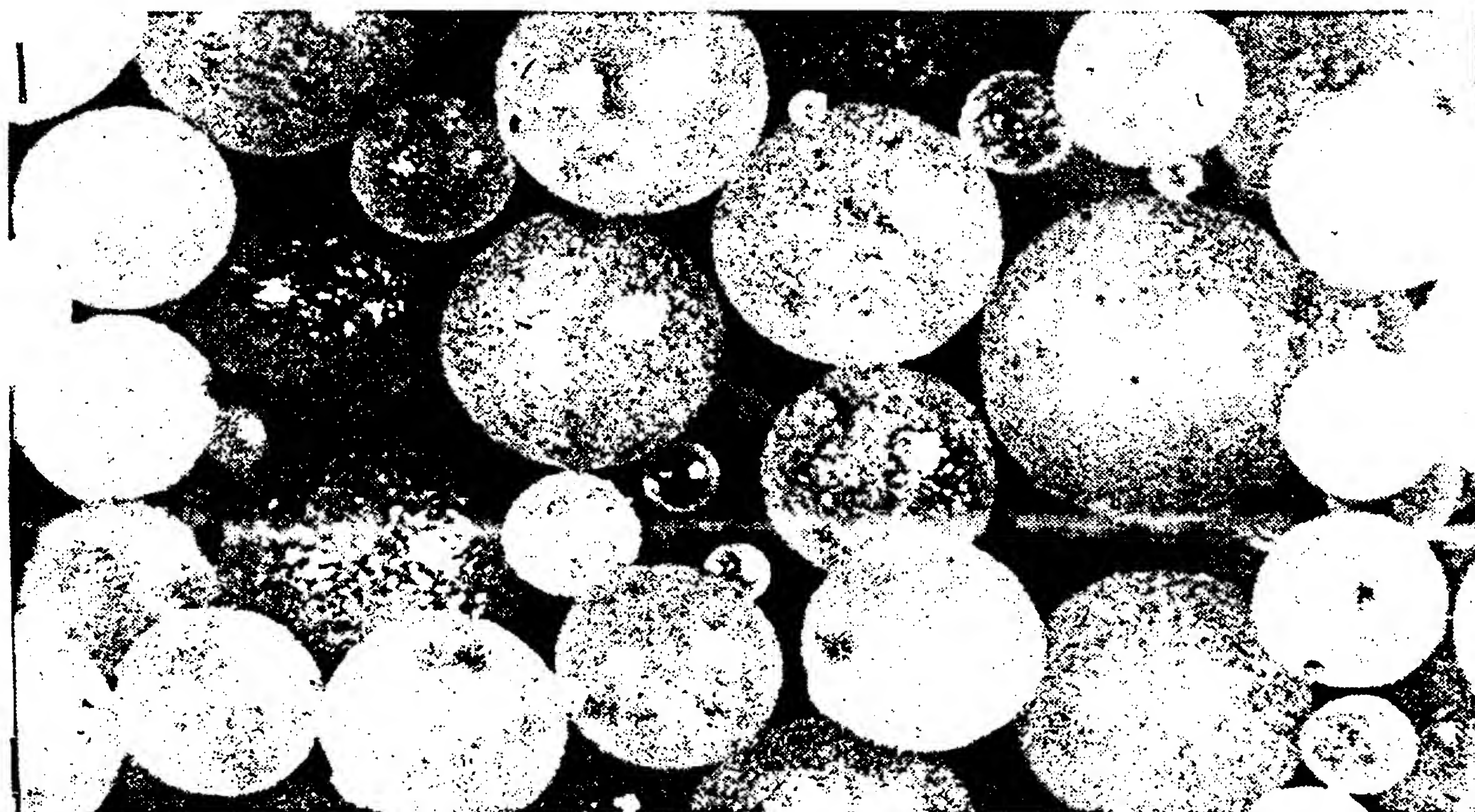
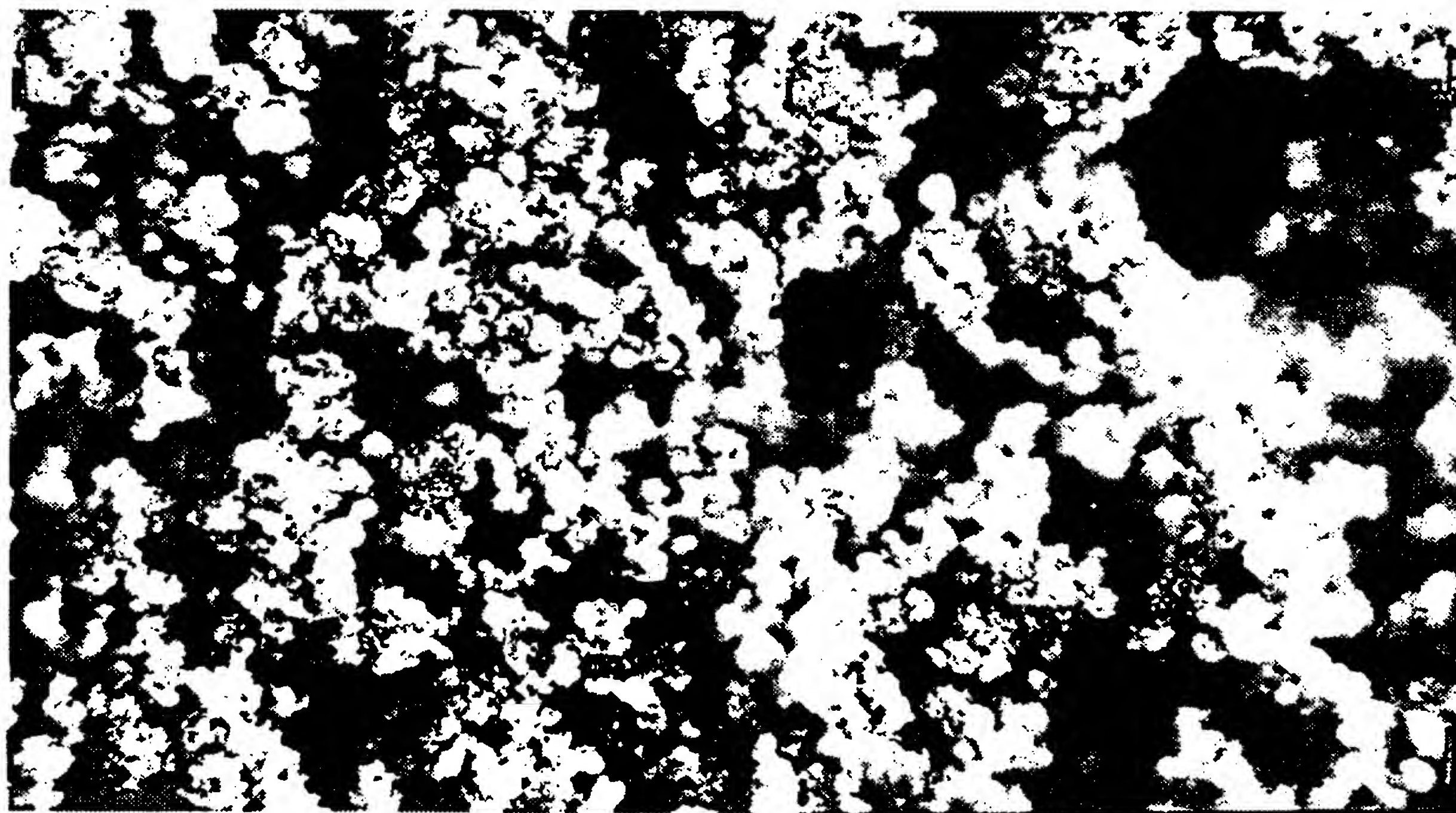


FIG 10



9/15

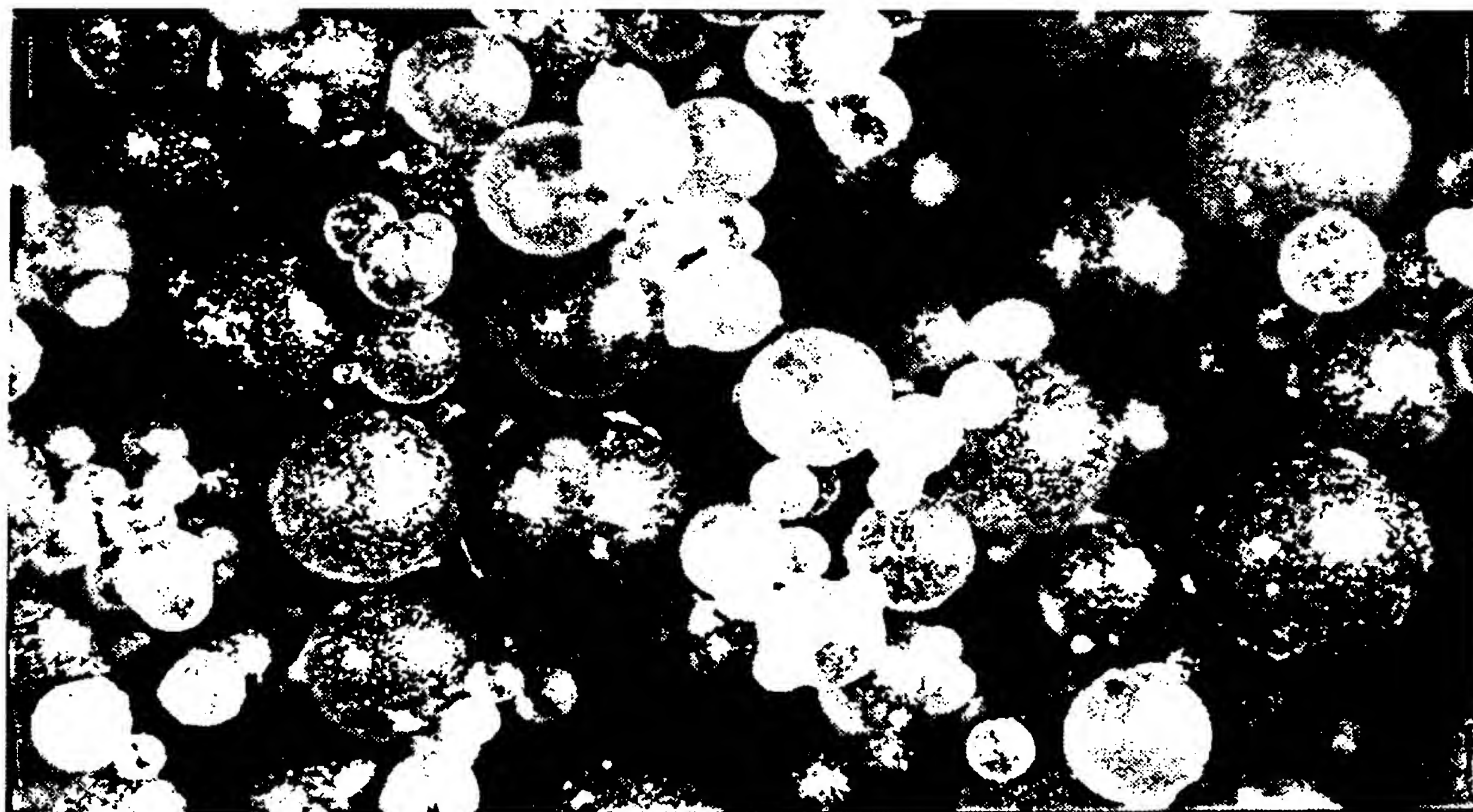
*FIG 11*



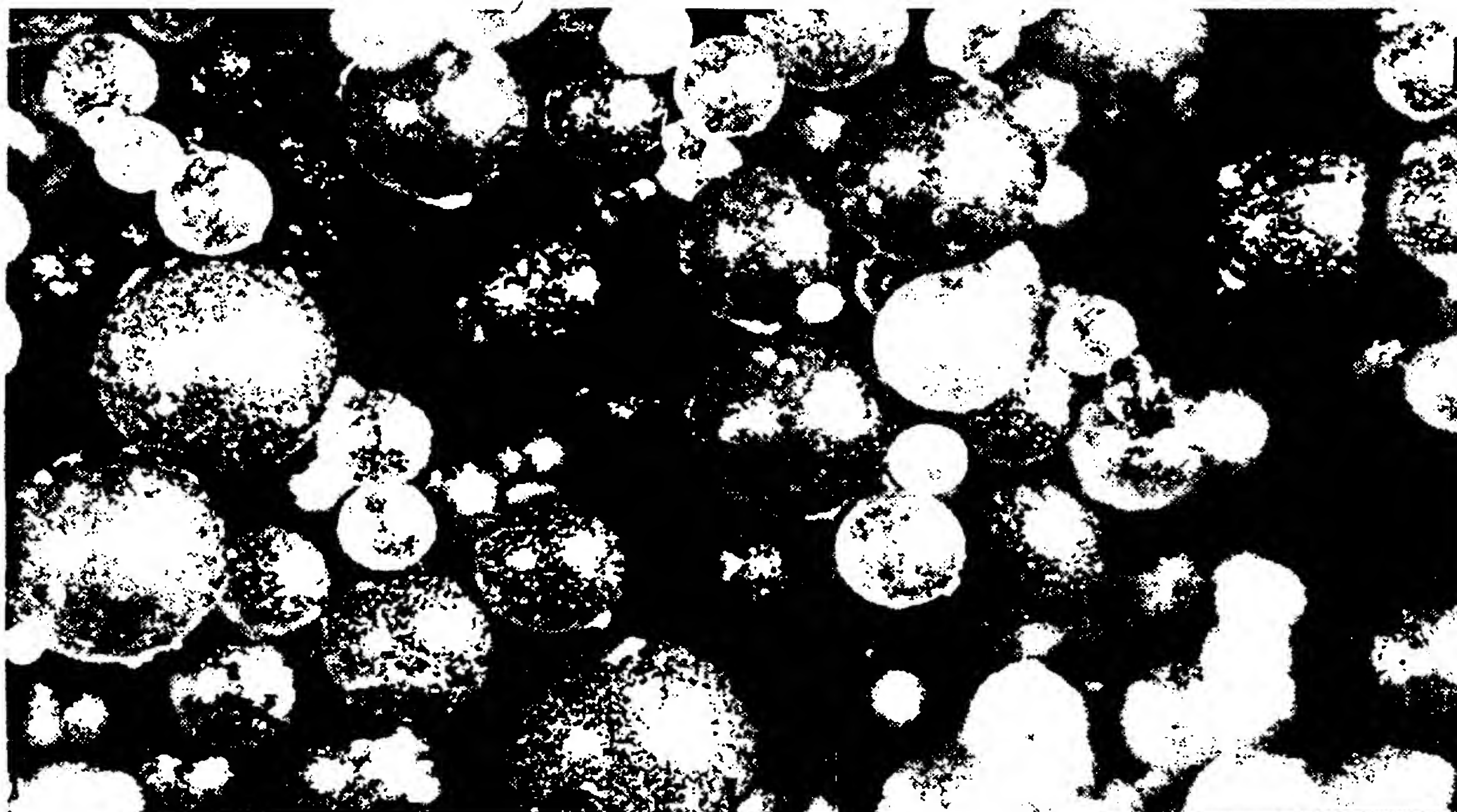


10/15

*FIG 12*

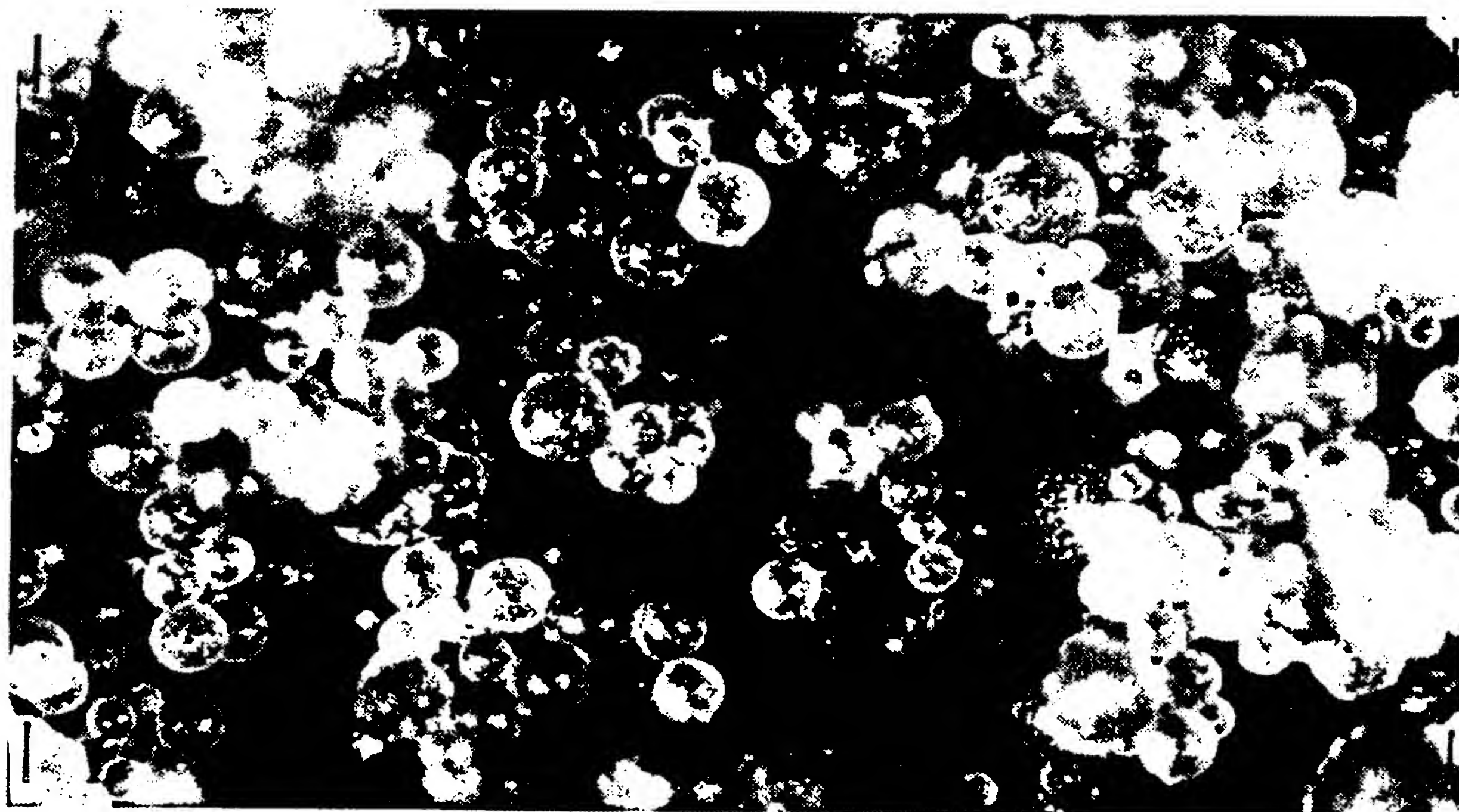


*FIG 13*

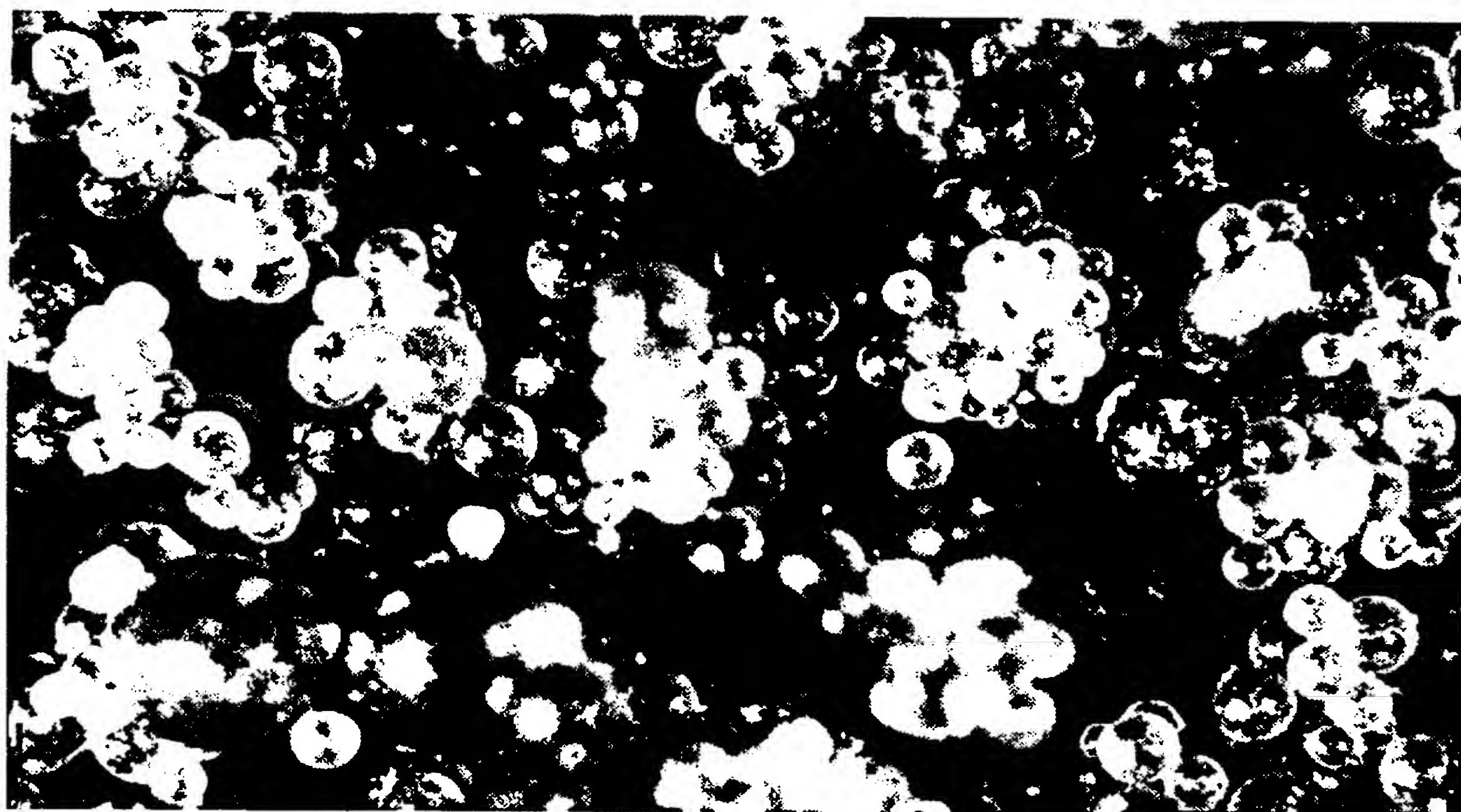


11/15

**FIG14**



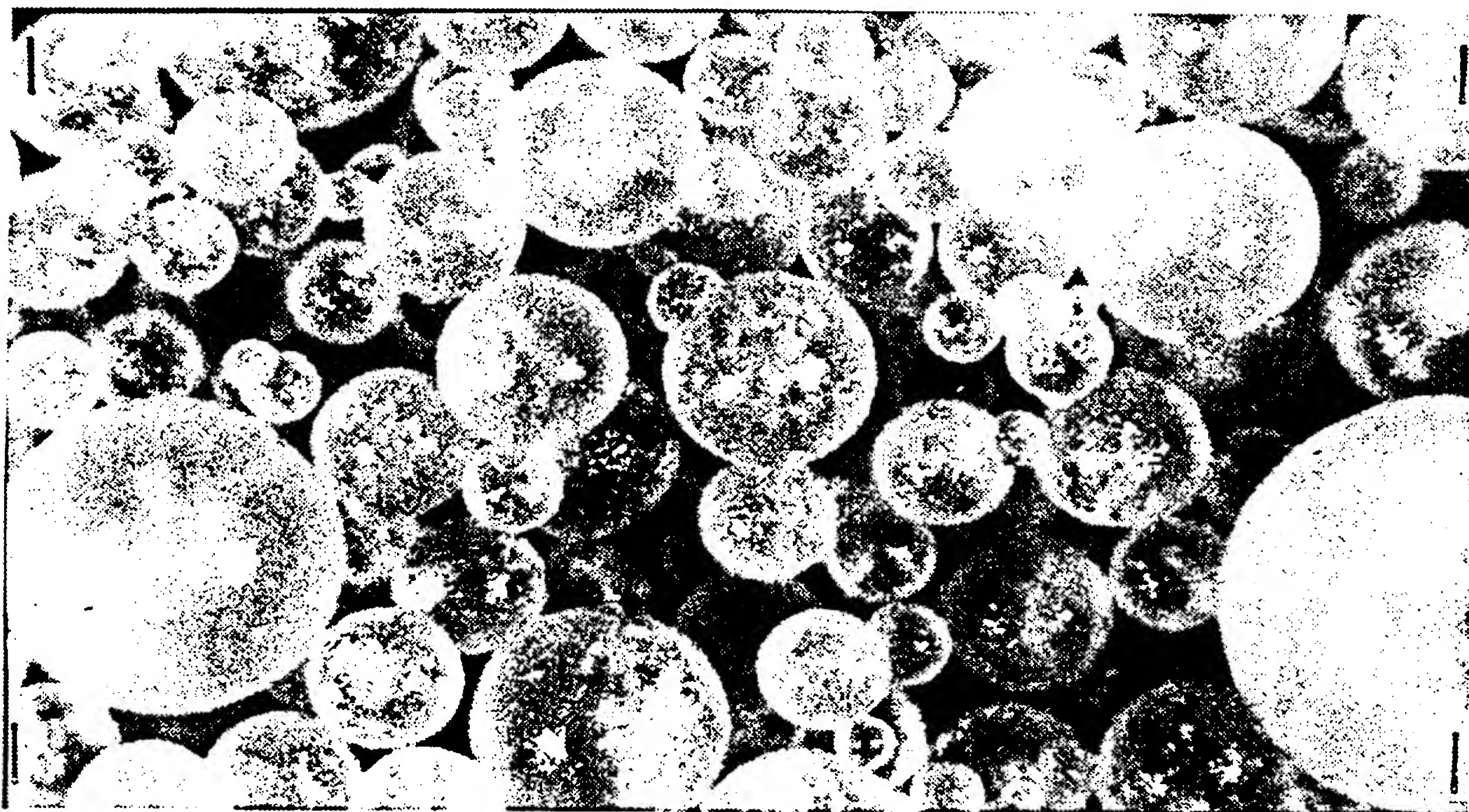
**FIG15**



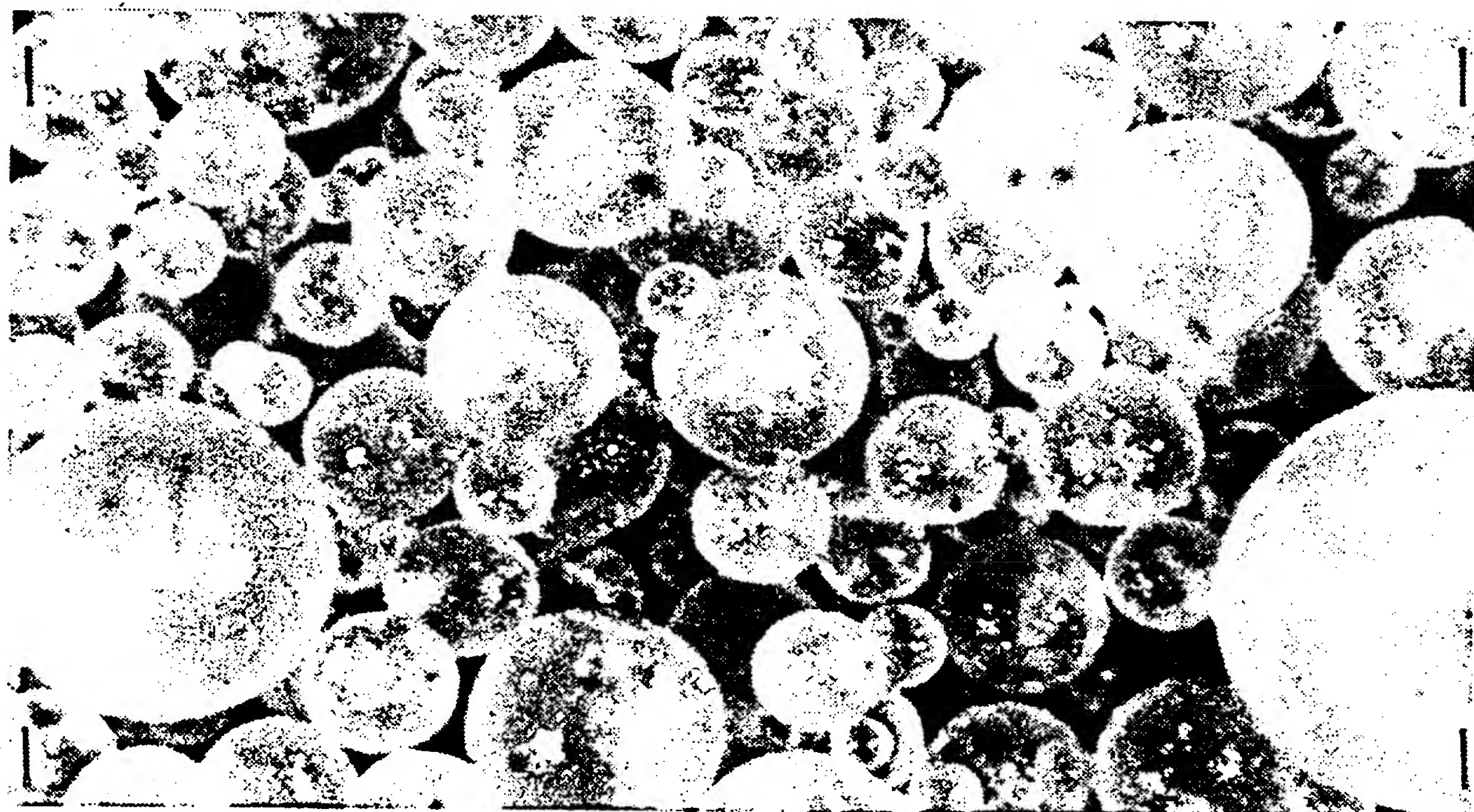


12/15

*FIG 16*



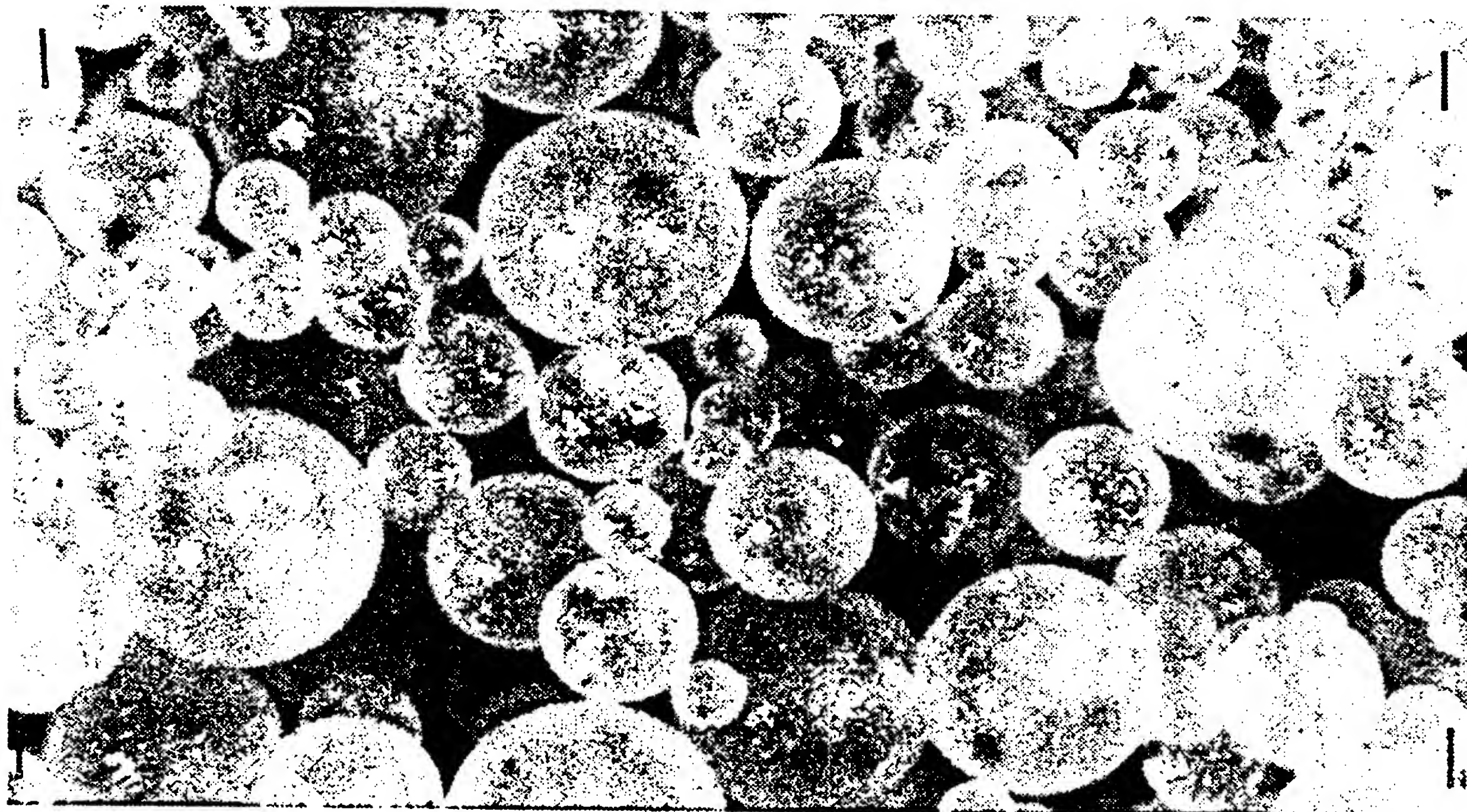
*FIG 17*



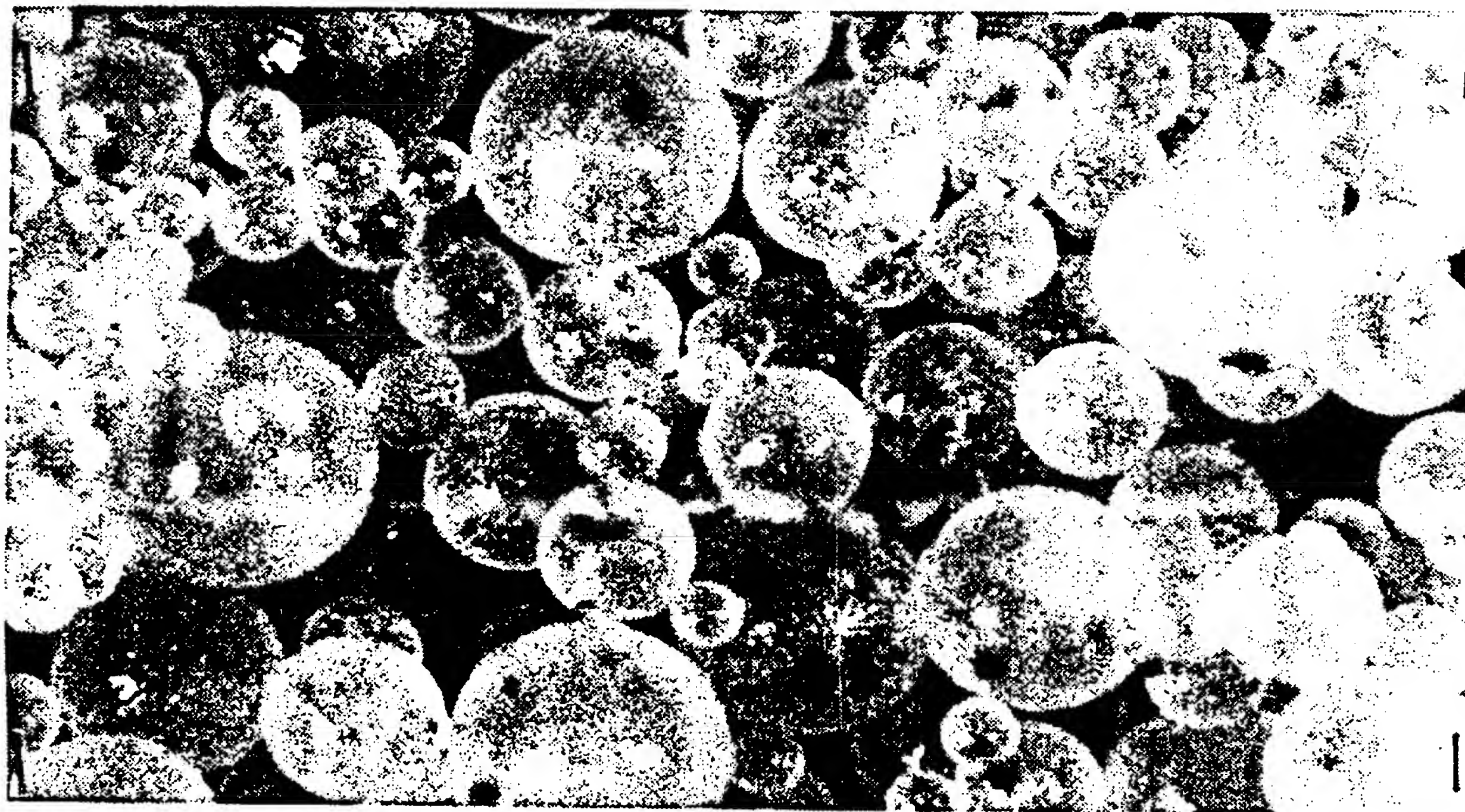


13/15

*FIG 18*



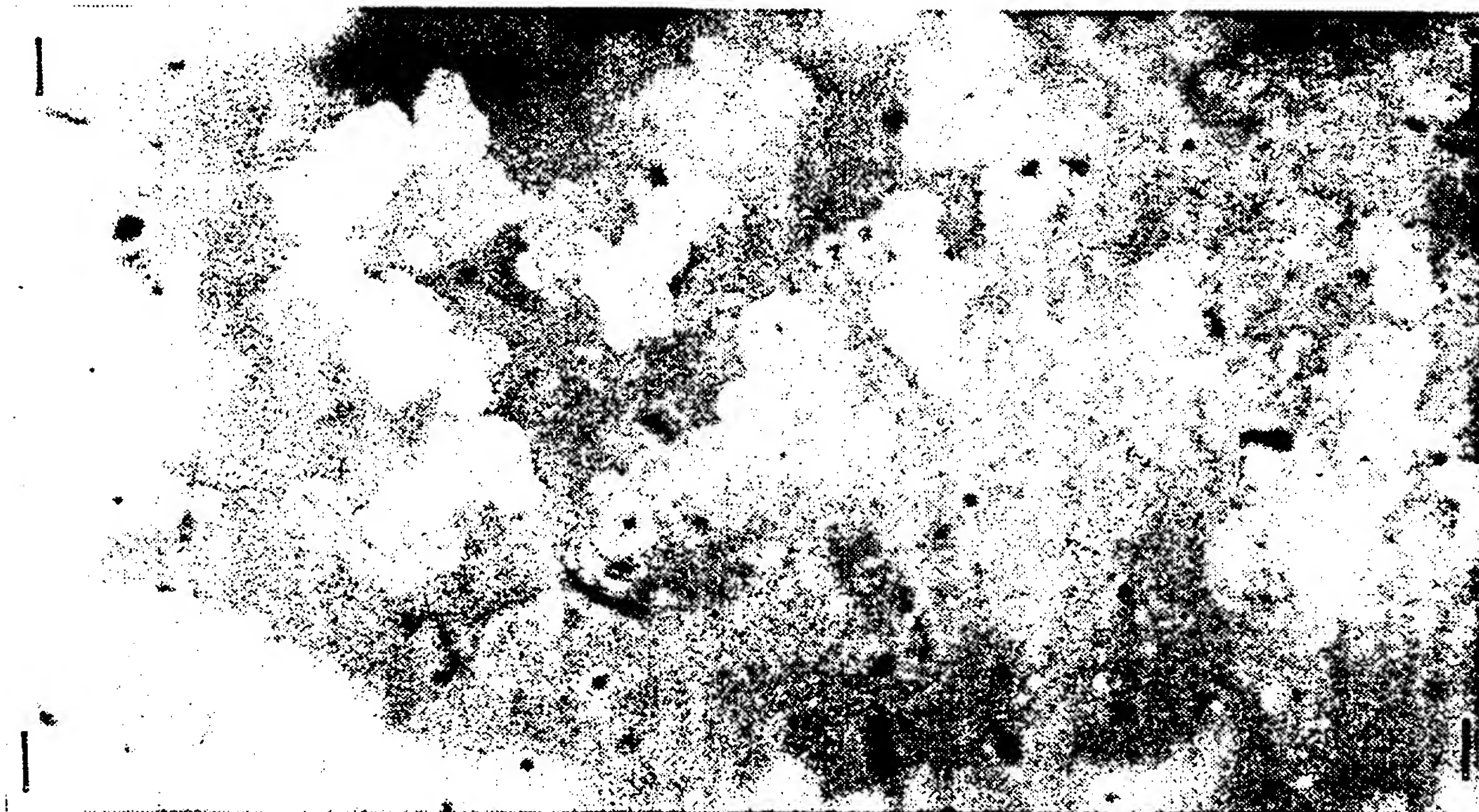
*FIG 19*



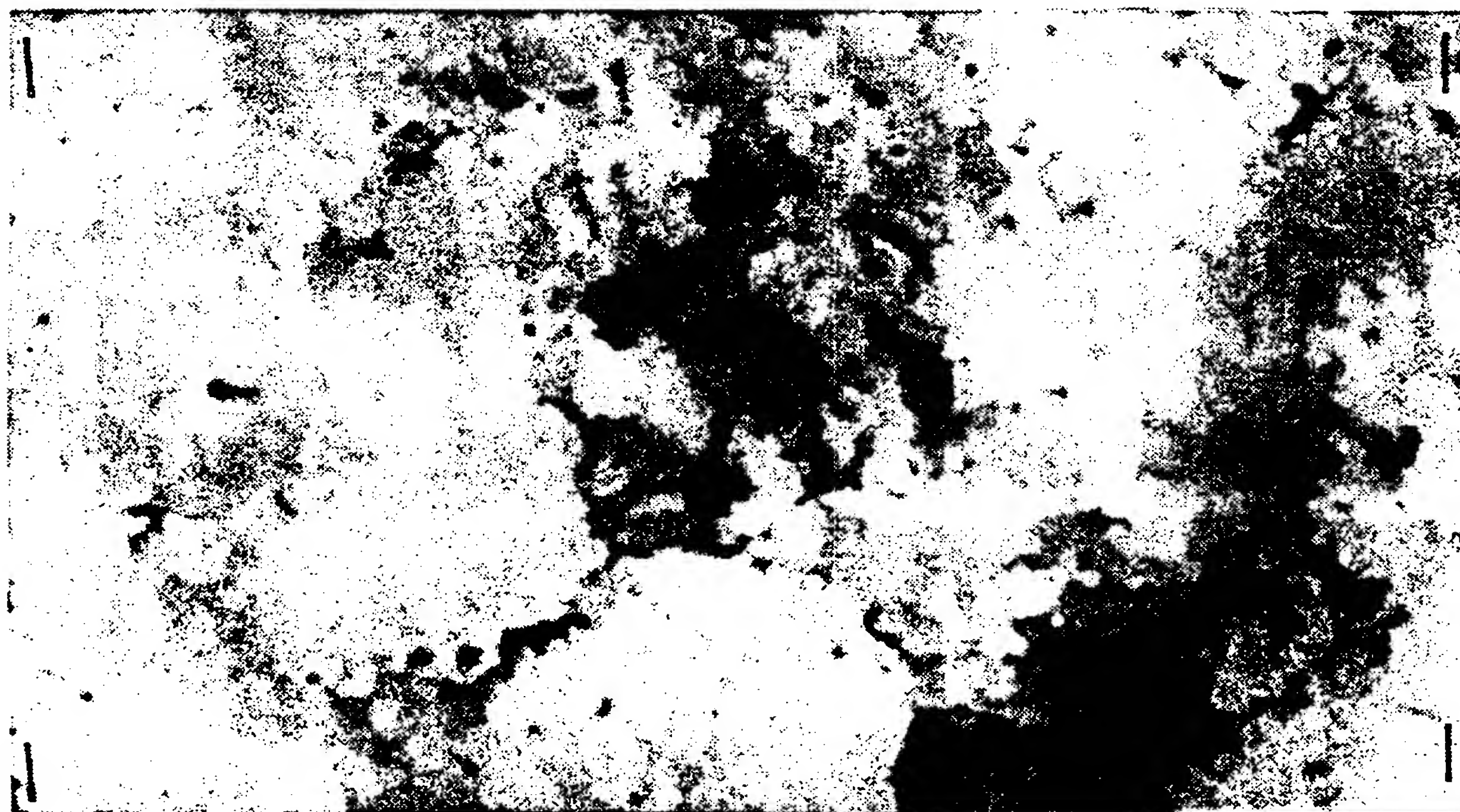


14/15

*FIG 20*



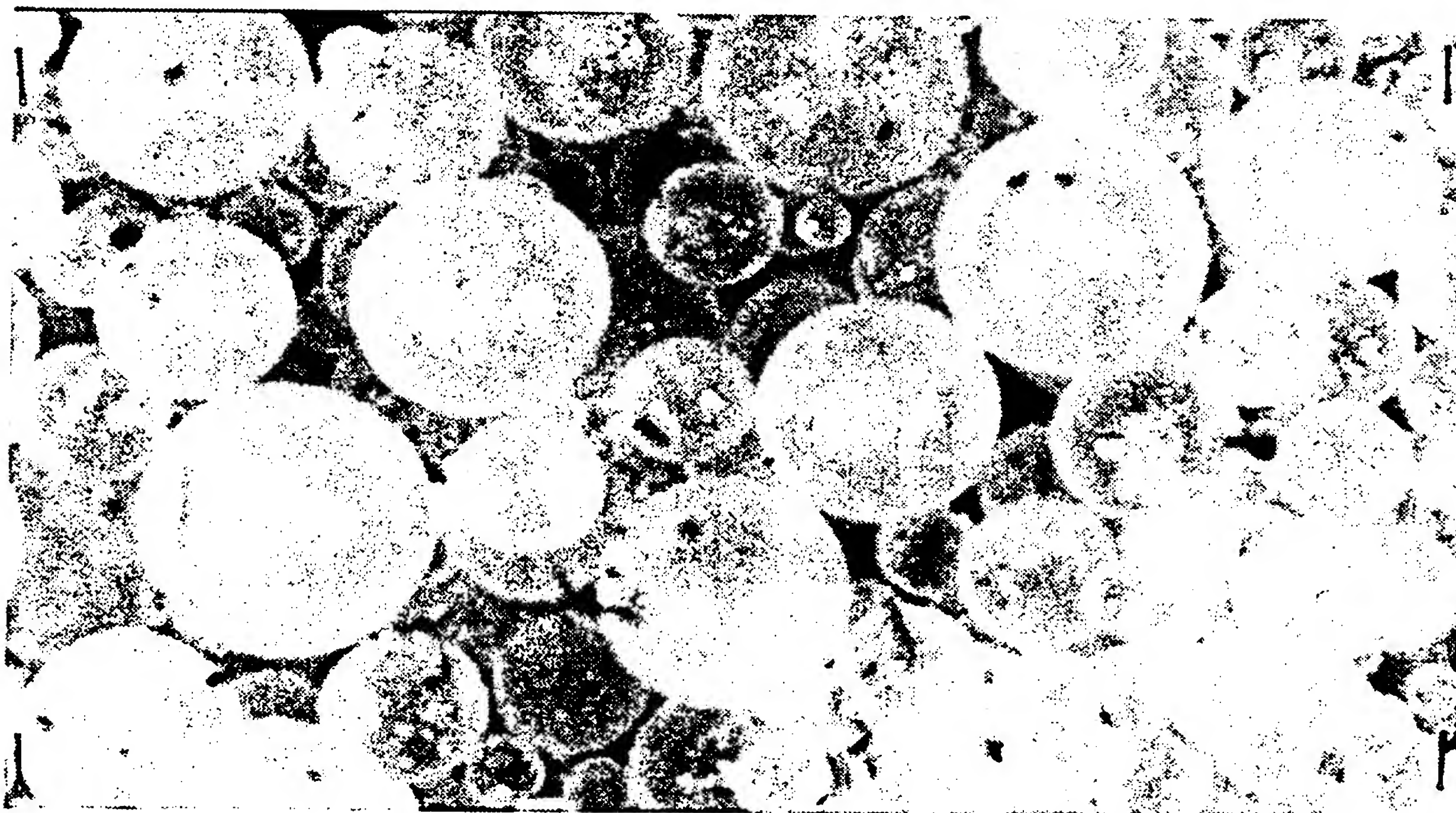
*FIG 21*



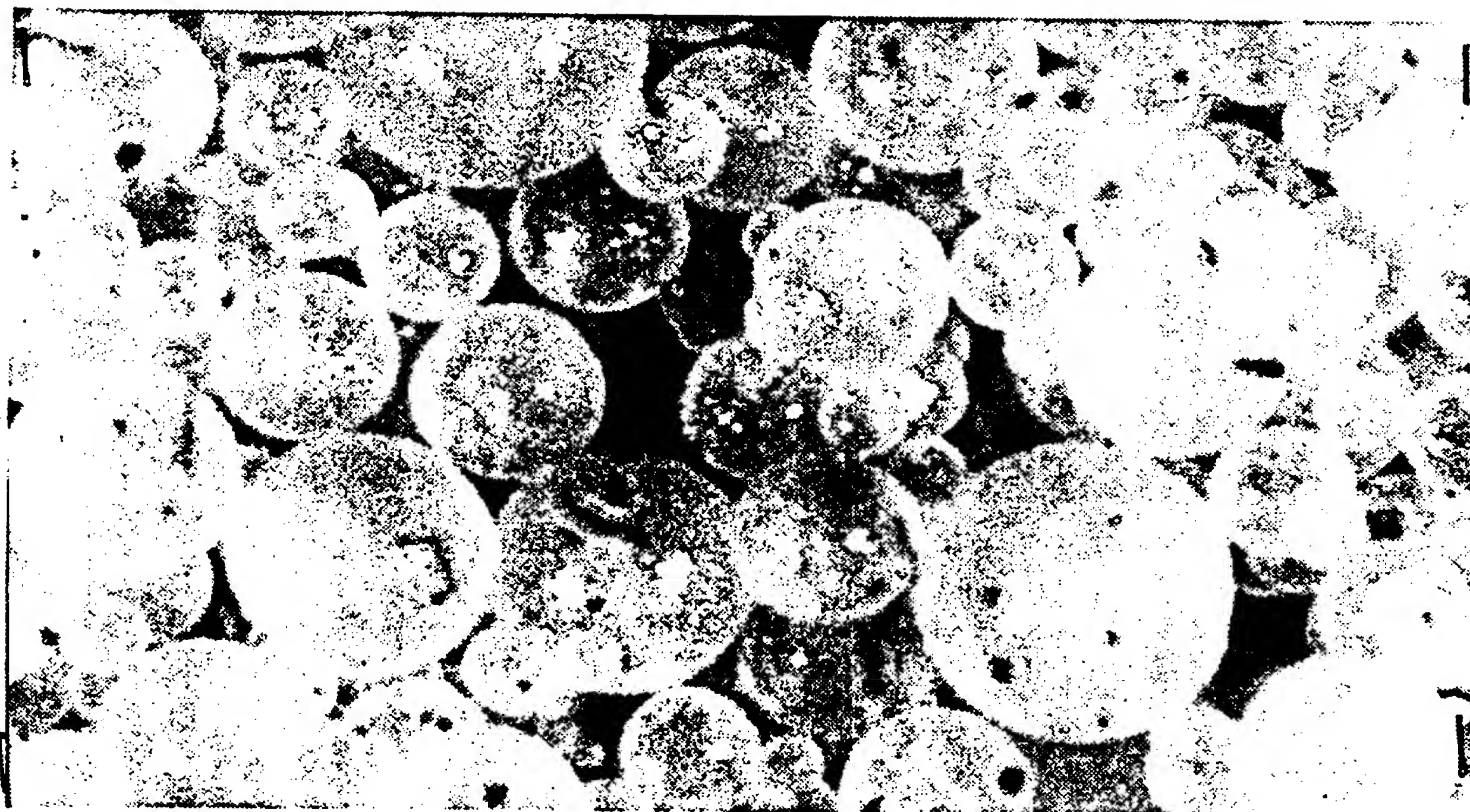


15/15

*FIG 22*



*FIG 23*



## INTERNATIONAL SEARCH REPORT

Internat Application No  
PCT/IT 95/00048A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61K9/16 B01J2/18

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP,A,0 438 359 (RHONE-POULENC RORER SA) 24 July 1991	1-3,5,7, 10
Y	see page 4, line 7 - page 5, line 6 see page 8 ---	6
X	DE,A,27 25 849 (HOBEG) 21 December 1978  see page 5, line 19 - page 11, line 13 ---	1-3,5,7, 8,10
Y	WO,A,90 13780 (ENZYTECH, INC.) 15 November 1990 see page 11, line 4 - line 11 see page 11, line 21 - line 24 ---	6
Y	FR,A,2 571 980 (EXTRAMET) 25 April 1986 see the whole document -----	6

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

22 August 1995

Date of mailing of the international search report

05.09.95

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Benz, K

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat'l Application No

PCT/IT 95/00048

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-438359	24-07-91	FR-A- 2657257	26-07-91
		AU-B- 651566	28-07-94
		AU-A- 6948191	25-07-91
		CN-A- 1054189	04-09-91
		DE-D- 69101493	05-05-94
		DE-T- 69101493	04-08-94
		ES-T- 2062700	16-12-94
		JP-A- 4212359	03-08-92
		PL-B- 165719	31-01-95
		SU-A- 1837872	30-08-93
		US-A- 5188838	23-02-93
		US-A- 5380532	10-01-95
-----			
DE-A-2725849	21-12-78	CH-A- 629115	15-04-82
-----			
WO-A-9013780	15-11-90	US-A- 5019400	28-05-91
		AU-B- 621751	19-03-92
		AU-A- 5530990	29-11-90
		CA-A- 2030550	02-11-90
		EP-A, B 0424516	02-05-91
		JP-B- 7039338	01-05-95
		JP-T- 3504389	26-09-91
-----			
FR-A-2571980	25-04-86	NONE	
-----			